



Fourth Program Year CAPER

The CPMP Fourth Consolidated Annual Performance and Evaluation Report includes Narrative Responses to CAPER questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

The grantee must submit an updated Financial Summary Report (PR26).

GENERAL

Executive Summary

Introduction

The Colorado Department of Local Affairs uses a variety of tools in its mission of strengthening Colorado communities. Responding and attending to the changing landscapes of state and local government, economic development, and housing markets are key elements in successfully achieving the goals of creating a suitable living environment, expanding economic opportunity and providing decent housing.

Through financial and technical assistance to local governments, economic development strategies and programs addressing affordable housing and homelessness, our department works in cooperation with local communities. Through those efforts we learn first hand how to build on the strengths, unique qualities and priorities of Colorado.

Reporting Period

The Colorado Department of Local Affairs (DOLA) is the lead agency overseeing development of the Consolidated Plan and Annual Action Plans. This Consolidated Annual Performance Report (CAPER) for the period of April 1, 2008 – March 31, 2009 summarizes progress made towards meeting Plan goals and objectives.

Major Initiatives

The Division of Housing (DOLA/DOH), a subagency of DOLA, worked to create and preserve units of affordable housing in Colorado through workshops designed to provide technical assistance and build capacity among nonprofit housing developers, local governments and housing authorities, workshops to promote appropriate implementation of HUD regulation; and training that enables grantees to maximize program effectiveness and funding to projects that meet our underwriting requirements.

Major changes in the Colorado housing market this year were driven by the increasing number of residential foreclosures. During 2007, Colorado's foreclosure rate increased substantially, and has not declined. While the most obvious effect of the foreclosures is that people are driven out of their homes and that lenders lose money on foreclosed properties, there are wider effects as well. New home building slowed substantially, lenders greatly tightened their lending criteria making credit scarce, and low-income housing tax credits lost about 25% of their value. Some apartment owners faced foreclosure as well, with the result that some of their tenants lost places to live. Property values in areas with high foreclosure rates were

reduced, a situation exacerbated as foreclosed properties were allowed to physically deteriorate. Local governments lost income based on property value as well as from fees normally charged for new development. People who lost their houses to foreclosure were driven into the rental market or, in some cases, temporary homelessness.

To address these issues, DOLA/DOH continued to develop the capacity of the Foreclosure Prevention Hotline. The Colorado Foreclosure Hotline is a project of the Colorado Foreclosure Prevention Task Force, a collaborative effort by government, industry and community groups to present a unified front in combating the increasing foreclosure issue in the Colorado single-family residential market. The Hotline is designed at the highest level to increase borrower contact with their lender and ultimately to create positive outcomes for clients dealing with foreclosure. The Task Force launched the Hotline on October 11, 2006. From inception to May 2009, more than 69,000 calls have been placed to the Hotline.

In addition to the Foreclosure Hotline, DOLA/DOH prepared Substantial Amendment to the 2008 Consolidated Plan Action Plan to participate in the Neighborhood Stabilization Program. Our strong working relationships with local governments and housing organizations enabled us to create a coordinated effort to use these additional funds as effectively as possible. The Substantial Amendment was approved and applications from local governments and non-profits are now in the review and award process. DOLA/DOH has received applications for participation in the NSP from 10 local governments. Of these, three have been awarded funding and are in the contracting process. Six more are expected to appear before the State Housing Board on July 14, 2009. Our Action Plan has been set up in DRGR and approved. No draws have yet been made from NSP funds.

In spite of homeownership becoming less attractive and accessible as a housing option, overall rental vacancy rates in Colorado have increased since the second quarter of 2008. Due to the economic downturn, renters have taken on roommates, moved back in with parents, or have put off moving to larger rental units. Consequently, average rents in Colorado have been largely flat, although small increases are still commonly reported. As incomes decrease and employment stalls, the demand for units with lower rents, including deed-restricted units, has been sustained.

After a period of very pronounced economic growth on the Western Slope of Colorado, vacancy rates in Colorado reached record-low levels. Since mid-2008, vacancies have begun to climb, and for-sale housing prices have leveled off. Nevertheless, the supply of rental housing on the Western Slope remains tight in several areas.

DOLA/DOH also submitted Substantial Amendments for the CDBG-R funds and Homelessness Prevention and Rapid Re-housing Program (HPRP) authorized by the American Recovery and Reinvestment Act. The HPRP amendment was submitted to HUD on May 18, 2009. We are awaiting approval of the amendment while we put in place the resources needed to manage the program. The CDBG-R amendment was submitted to HUD on June 23, 2009.

The Division continued its major initiative to sponsor housing needs assessments at the local level to gain knowledge of the varying affordable housing needs across the state and permit local governments to create strategic plans to deal with them.

DOLA/DOH also provided technical assistance to increase capacity of Community Housing Development Organizations (CHDOs) and other housing providers;

The Division emphasized preservation and rehabilitation of existing projects and opportunities to add existing market rate properties to the affordable housing inventory, and actively sought to increase the number of units available to those in the below 40% of AMI income bracket.

At the same time, the DOLA/DOH worked to increase the agency capacity of homeless shelters and service providers that assist families and individuals in need, worked to prevent homelessness.

As a result of these efforts, the Division of Housing funded the production of affordable housing as summarized below:

- New construction of rental units = 596 units
- Acquisition and Rehab of Rental Units = 356 units
- Single family rehab = 153 households
- Homebuyer Assistance = 212 households
- New Construction of Ownership Units = 79
- Community Development Organization Capacity Building Grants = 6 grants

HOME funds totaling \$4,754,313 were used to create new affordable housing, to rehabilitate rental units, create senior housing, to fund tenant-based rental assistance, assist homebuyers, and fund capacity-building activities for community development housing organizations (CHDOs).

ESG provided \$895,109 in funding for shelters, transitional housing and homeless prevention to 45 nonprofit agencies across the state.

HOPWA provided \$367,630 in funds for tenant-based rental assistance, supportive services, and short-term rent, mortgage and utilities to four regions of the state.

CDBG provided \$7,910,649 in funds for activities including housing for special populations, a study, single-family owner-occupied housing rehabilitation and homeless services and shelter.

In 2008, public facility projects were contracted providing \$4,717,447 for 2 child care centers, 1 domestic violence shelter, 3 health facilities, 1 human service buildings, 2 water projects, 1 wastewater project and 1 community center.

Economic development projects in 2008 funded 4 revolving loan fund programs and 2 infrastructure grants to promote job creation for businesses totaling \$2,267,000.

Community Development Block Grant State Program (CDBG): Colorado received its 2008 CDBG allocation of \$10,546,315 of which \$10,129,926 was available for local projects. The State divided the available funds in approximate thirds, making available \$3,376,642 each for housing, public facilities and economic development projects. All projects receiving funds are awarded on a competitive basis.

The State contracted \$13,495,196 projects this reporting period. A detailed list of these projects is included in the program report.

Other Programs Administered by the Department:

Community Services Block Grant Program (CSBG): During this reporting period, the State received \$5,647,858 in funds to administer its program and to provide funds to its 43 grantees.

Energy and Mineral Impact Assistance Fund (EIAF): A total of \$112,439,558 was made available for the funding of 403 projects during this reporting period. An additional \$24,730,912.06 in Severance Tax Revenue and \$7,595,498.04 in Mineral Lease Revenue was distributed to energy impacted city and county governments.

Local Limited Gaming Impact Fund (LLGIF): Also known as the Contiguous County Gaming Impact Fund. A total of \$6,816,615 was made available to 47 projects.

General Questions

Assessment of the one-year goals and objectives:

The Colorado Department of Local Affairs established 11 goals in its 2008 Consolidated Plan. Those goals and our progress toward them are listed below:

1. Preserve the supply of existing affordable rental housing

DOLA/DOH worked to preserve the existing supply of affordable rental housing by funding rehabilitation or acquisition and rehab of rental units. During the year, 327 units were preserved through these activities. The Division has achieved over 74% of 5-year goal for number of units acquired and rehabilitated; and has exceeded its goal for rehabilitation only by 40 units – 17%.

2. Increase the supply of affordable rental housing when it meets community needs

Because of the state's higher-than-normal rental vacancy rates, we limited new construction of rental to those for special needs populations or when community demand required it. Instead the Division concentrated on areas with high growth rates and low affordability, such as Douglas County, and projects to serve persons with special needs, especially the elderly. The Division's vacancy surveys assisted in the decision-making process as projects were submitted to staff and to the State Housing Board. During the period of April 1, 2008 to March 31, 2009, a total of 596 new units were constructed.

3. Increase the capacity, stability and independence of community housing development organizations

The Division of Housing funded twelve housing needs assessments during the 2008-2009 Consolidated Plan year, nine of which received CDBG funding. DOLA/DOH also offered seven workshops to increase the capacity of Colorado's community housing development organizations.

4. Increase and maintain homeownership for low- and moderate-income households.

DOLA/DOH provided 212 households with homeownership opportunities in the form of down payment assistance and funded rehabilitation of 153 existing owner-occupied housing units. The Division has reached over 91% of its homeownership assistance goal and has exceeded its rehabilitation goal.

The Foreclosure Hotline, a toll-free telephone number for homeowners to call for counseling to prevent foreclosure, received over 65,000 calls in its first 30 months of operation. While Colorado continues to have one of the highest foreclosure rates in the nation, our Foreclosure Prevention Hotline has become a model for other state-level foreclosure prevention efforts.

5. Meet the need for homeless shelter beds and supportive services that foster independence

The Division funded 11 homeless shelter and transitional housing beds during the report year, exceeding our 5-year target. Our Tenant Based Rental Assistance Program enabled us to take one hundred fifty households out of homelessness and place them in affordable units in Denver Metro area communities, Mesa County, Fort Collins and Colorado Springs. Households in this program receive supportive services from contracting agencies. The HOPWA program provided rental assistance to one hundred six persons and supportive services to one hundred thirty-one.

Colorado Community Interagency Council on Homelessness: The Colorado Division of Housing participates in the state's Interagency Council on Homelessness, which has been reorganized and is in the process of developing a new strategic plan.

6. Assist in creating an adequate supply of housing for persons with special needs coupled with appropriate services

The Division provided HOME or CDBG funding for one hundred fifty-two rental units for seniors and fifty units for the homeless. With state funding, DOLA/DOH assisted in the rehabilitation of twenty-four units for disabled seniors and sixty-six units for the homeless. All of these units included access to supportive services. In addition, the Division assisted in the acquisition and rehabilitation of a shelter for teen-aged victims of domestic violence and their children.

7. Increase housing opportunities in revitalized neighborhoods and high land cost areas of Colorado

Colorado did not designate any neighborhood revitalization areas during the report year.

In Boulder County, one of the state's highest land cost areas, the Division of Housing funded fifty new affordable apartments for seniors, rehabilitation of ninety-four apartments serving families with incomes below 60% of AMI, provided down payment assistance to forty-eight low/mod income households, and rehabilitation of ten single-family homes. Four emergency shelters received ESG money. In addition, one CHDO received an operating grant.

In Summit County, another very-high land cost area, DOLA/DOH provided funding to help construct forty-two single-family homes and to assist sixteen families with down payments on their homes.

8. Provide community at-large and policy-maker education and outreach about housing issues so that communities are better able to meet their housing needs

The Colorado Division of Housing (DOLA/DOH) provides education and outreach programs through media events, forums, seminars, and one-on-one educational meetings with partners.

DOLA/DOH releases quarterly reports on apartment vacancies and rents in Colorado. These cover markets statewide and provide extensive information on deed-restricted rental housing and on market-rate rental housing. These reports are widely covered in both print and television media on a regular basis, and we provide direct links to these reports and similar information through our web site.

DOLA/DOH is a primary sponsor of the Colorado Foreclosure Hotline and the Colorado Foreclosure Prevention Task Force, which is staffed and co-chaired by DOLA/DOH staff. DOLA/DOH staff provides regular updates on hotline activities and successes and these updates have been covered extensively in print and television media at both the local and national levels.

The DOLA/DOH also provides quarterly updates on county-by-county foreclosure statistics in Colorado, and has received extensive media coverage of these reports which are easily accessed on the DOLA/DOH web site.

In addition, DOLA/DOH provides the public with data on the availability of affordable housing and household income analyzed in twelve regions of Colorado.

This information as well as data on grants, new programs, and new services is made available to the public through a variety of online services including the Division's main web site, the web log that is updated continuously, the Division's Twitter updates, and through the Division's email update services which presently serve over 500 subscribers.

DOLA/DOH has sponsored and organized numerous recent events in partnership with local news outlets in which viewers were encouraged to call into the Housing Line provided by the local news stations. These phone banks were staffed by volunteers organized by DOLA/DOH staff. These events produced over 800 calls in total in which callers were connected with housing professionals trained in answering questions about homeownership and foreclosures.

DOLA/DOH organized joint foreclosure prevention training, bringing together trainers from US Bank, Wells Fargo, JP Morgan CHASE, and Countrywide to provide trainings to housing counselors about how to best work with Mortgage Companies in assisting borrowers with avoiding foreclosure.

The Division also hosted three sessions of "The Developers Toolkit" in three separate cities, two sessions of the "Advanced Finance Academy," and one session of our "Application and Underwriting Process" workshop. These workshops are designed to build capacity and provide technical assistance to existing and aspiring housing development organizations.

9. Increase the economic opportunities for communities in Colorado.

The Office of Economic Development and International Trade (OEDIT) has continued to use its Community Development Block Grant (CDBG) funds to create and/or, in some cases, retain jobs, primarily for persons of low- and moderate- income in the rural, non-entitlement areas of Colorado. OEDIT uses the CDBG funds in three ways, including the capitalization of fifteen business loan funds, funding of grants to build public infrastructure in support of existing, new or expanding businesses, and funding planning and feasibility studies whose end results, if deemed feasible and the project is developed, will create and/or retain jobs.

The business loan funds make loans, generally from \$10,000 up to \$250,000, to small businesses that are existing, starting up or expanding and that will create and/or retain jobs. In an effort to sustain rural communities by increasing employment and reducing underemployment, at least 51% of the family incomes of persons hired into the jobs must have been below 80% of the county median wage for the previous calendar year, defined as of low- and moderate- income. The business loan funds also have an opportunity to offer technical assistance and loans to microenterprises that either meet the job creation/retention requirements stated above or to business owners that qualify as limited clientele (low- and moderate-income owners of microenterprises).

The infrastructure assistance program works with rural municipalities, small cities or counties to provide funds to construct public infrastructure (that the unit of government is generally not able to afford to fund) to support an existing business, a new business or an expanding business that will commit to create/retain a specific number of new jobs within a reasonable period of time. This program also requires that at least 51% of persons hired in the business must be of low- and moderate-income.

The planning and feasibility studies program is available to provide a portion of the costs of a study to plan for or to determine the feasibility of a project with an economic development objective that would, if implemented, create new permanent jobs and/or retain existing jobs. This program requires that if a feasible project moves forward, that at least 51% of the persons eventually hired must be of low- and moderate- income.

10. Help improve the leadership and governing capacity of Colorado communities.

The Division of Local Government accomplishes this through a variety of workshops. Topics for these workshops include: budgeting, finance, elections, planning and smart growth, and financial assistance programs.

11. Help Colorado communities identify, prioritize and address their capital improvements needs.

The Division of Local Government works with local governments throughout Colorado and assists them with goal setting, capital improvements planning, as well as technical and financial assistance.

Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.

Obj. #	Objective DECENT HOUSING	Expected units	Actual Units funded	\$ Amount and Source(s)
DH-1(1)	Perm Supportive Housing for Special Needs	79	152	<input checked="" type="checkbox"/> CDBG \$ 796,696 <input checked="" type="checkbox"/> HOME \$ 4,174,313 <input type="checkbox"/> ESG _ \$ _____ <input type="checkbox"/> HOPWA \$ _____
DH-1(2)	HIV/AIDS Housing Info & Resource ID	0	0	Provided as an integral part of supportive services and not accounted for separately.
DH-1(3)	Rental Assistance for Special Populations	300	106 HOPWA	<input type="checkbox"/> CDBG _ \$ _____ <input checked="" type="checkbox"/> HOME \$ _____ <input type="checkbox"/> ESG _ \$ _____ <input checked="" type="checkbox"/> HOPWA \$ 281,942
DH-1(4)	Single Family Owner Occupied Rehab	125	153	<input checked="" type="checkbox"/> CDBG \$ 1,938,631 <input type="checkbox"/> HOME _ \$ _____ <input type="checkbox"/> ESG _ \$ _____ <input type="checkbox"/> HOPWA \$ _____
DH-1(5)	Analysis of Impediments to Fair Housing Choice			Utilizing existing staff
DH-1(6)	Rental Rehabilitation only	0	62	<input checked="" type="checkbox"/> CDBG _ \$ 265,000 <input type="checkbox"/> HOME _ \$ _____ <input type="checkbox"/> ESG _ \$ _____ <input type="checkbox"/> HOPWA \$ _____
DH-1(7)	Assist Urgent Community Needs	0	0	<input type="checkbox"/> CDBG _ \$ _____ <input type="checkbox"/> HOME \$ _____ <input type="checkbox"/> ESG _ \$ _____ <input type="checkbox"/> HOPWA \$ _____
DH-2(1)	New Construction of Rental Units	500	475	<input checked="" type="checkbox"/> CDBG \$ 2,046,696 <input checked="" type="checkbox"/> HOME \$ 3,013,759 <input type="checkbox"/> ESG _ \$ _____ <input type="checkbox"/> HOPWA \$ _____
DH-2(2)	Acquisition and Rehab of Rental Units	350	286	<input type="checkbox"/> CDBG \$ 265,000 <input checked="" type="checkbox"/> HOME \$ 1,000,000 <input type="checkbox"/> ESG _ \$ _____ <input type="checkbox"/> HOPWA \$ _____
DH-2(3)	Homeownership Opportunities	200	212	<input checked="" type="checkbox"/> CDBG \$ 888,540 <input checked="" type="checkbox"/> HOME \$ 512,054 <input type="checkbox"/> ESG _ \$ _____ <input type="checkbox"/> HOPWA \$ _____
DH-2(4)	Homeless Prevention	600	25 HOPWA	<input checked="" type="checkbox"/> CDBG \$ 45,000 <input type="checkbox"/> HOME _ \$ _____ <input checked="" type="checkbox"/> ESG _ \$ 244,765 <input checked="" type="checkbox"/> HOPWA \$ 15,638
DH-2(5)	Homeownership Development	10	79	<input checked="" type="checkbox"/> CDBG \$ 1,215,080 <input type="checkbox"/> HOME _ \$ _____ <input type="checkbox"/> ESG _ \$ _____ <input type="checkbox"/> HOPWA \$ _____
DH-2(6)	Tenant-Based Rental Assistance -Non-Homeless			Did not implement this planned activity
DH-2(7)	Land Trusts	8	0	<input type="checkbox"/> CDBG _ \$ _____ <input type="checkbox"/> HOME _ \$ _____ <input type="checkbox"/> ESG _ \$ _____ <input type="checkbox"/> HOPWA \$ _____
DH-2(8)	Individual Development Accounts			New activity to begin in 4th program year
DH-2(9)	CHDO Predevelopment	1	1	<input type="checkbox"/> CDBG _ \$ _____ <input checked="" type="checkbox"/> HOME _ \$ 16,000 <input type="checkbox"/> ESG _ \$ _____ <input type="checkbox"/> HOPWA \$ _____
DH-3(1)	Foreclosure Prevention	10	4,100	<input type="checkbox"/> CDBG \$ _____ <input type="checkbox"/> HOME _ \$ _____ <input checked="" type="checkbox"/> ESG \$ 14,760 <input type="checkbox"/> HOPWA \$ _____

Funded primarily
through other
sources

DH-3(2)	Housing Needs Assessments/Planning –	2	9	<input checked="" type="checkbox"/> CDBG \$ 496,025 <input type="checkbox"/> HOME \$ _____ <input type="checkbox"/> ESG \$ _____ <input type="checkbox"/> HOPWA \$ _____
Obj. #	Objective SUITABLE LIVING ENVIRONMENT	Expected units	Actual units	\$ Amount and Source(s)
SL-1(1)	Essential and Supportive Services	100	1000	<input checked="" type="checkbox"/> CDBG \$ 216,581 <input type="checkbox"/> HOME \$ _____ <input checked="" type="checkbox"/> ESG \$ 247,940 <input checked="" type="checkbox"/> HOPWA \$ 34,000
SL-1(2)	Transitional or Homeless Shelter Beds	60	11	<input checked="" type="checkbox"/> CDBG \$ 240,100 <input type="checkbox"/> HOME \$ _____ <input type="checkbox"/> ESG \$ _____ <input type="checkbox"/> HOPWA \$ _____
SL-1(3)	Permanent Supportive Housing for the Homeless	8	50	<input type="checkbox"/> CDBG \$ _____ <input checked="" type="checkbox"/> HOME \$ 323,759 <input type="checkbox"/> ESG \$ _____ <input type="checkbox"/> HOPWA \$ _____

- If applicable, explain why progress was not made towards meeting the goals and objectives.
 - DH-1 (2) – Our HOPWA contractor opted not to identify this service as a separate budget line. It is included under Supportive Services.
 - DH-1 (7) – Fortunately, no urgent needs (i.e. tornado, floods, etc.) arose during the reporting period.
 - DH-2 (6) – Market conditions did not call for implementation of the Tenant Based Rental Assistance project.
 - DH-2 (7) – No new land trust proposals were brought forward during the reporting year.
 - DH-2 (8) – No new Individual Development Account proposals were brought forward during the reporting year.
- Describe the manner in which the recipient would change its program as a result of its experiences.
As a result of an audit by HUD's Office of the Inspector General, DOLA/DOH has changed its processes to ensure that:
 - No CDBG funds are granted to entitlement areas
 - Any CDBG-funded project meets national objectives and eligible uses
 - Program income generated is properly tracked and reported
 - All of the above are adequately documented.
- Affirmatively Furthering Fair Housing:
 - Provide a summary of impediments to fair housing choice.
 - Identify actions taken to overcome effects of impediments identified.

Specific actions to reduce impediments to Fair Housing include the following:

(1) LACK OF AFFORDABLE HOUSING:

Lack of an Adequate Supply:

- ❖ The Department of Local Affairs, Division of Housing (DOH) added 1,031 additional units to the affordable housing stock of Colorado.
- ❖ The Department of Local Affairs, Division of Housing (DOH) continues to work with counties and large municipalities to fund Housing Needs Assessments that will provide a consistent baseline of information that will assist in those jurisdiction's abilities to create affordable housing.
- ❖ DOH participates in the "housing pipeline" with state and federal agencies including the U.S. Department of Housing and Urban Development (HUD),

U.S. Department of Agriculture Rural Development (R.D.) the Colorado Housing and Finance Authority (CHFA).

- ❖ DOH is working with a statewide housing agency to develop a renter-occupied housing rehabilitation program that will increase the number of accessible rental units in Colorado.
- ❖ The Division has emphasized the production of units for households that make less than 30% of the Area Median Income and funded 130 units in FY2008.

Impact Development Fees:

- ❖ On an ongoing basis, DOH requires applicants for its Federal funds to approach their respective local governments to request waiver of impact development fees.
- ❖ DOH provides copies of "Housing Colorado: A Guide for Local Officials" to Boards of Commissioners and City Councils in the course of working with various communities in Colorado.

Land Costs:

- ❖ On an ongoing basis, DOH encourages local government to donate land for affordable housing – especially in high cost areas.

Housing Planning for All Income Levels:

- ❖ DOH continues to work with counties and large municipalities to fund Housing Needs Assessments that will provide a consistent baseline of information that will assist in those jurisdiction's abilities to create affordable housing.

Gentrification of Existing Neighborhoods:

- ❖ DOH encourages Departments of Planning and Community Development within counties and municipalities to consider ways to maintain affordable units in existing neighborhoods.

(2) ISSUES FOR HOUSING FOR PERSONS WITH DISABILITIES:

Inadequate Supply:

- ❖ DOH will encourage additional accessible rental units through the Neighborhood Stabilization Program (NSP). During the reporting period, DOH funded 140 fully-accessible rental units.

Modification/Rehab of Existing Rental Units:

- ❖ DOH will encourage additional rehabilitated rental units, suitable for persons with disabilities through the NSP Program

Inadequate Supply of Units for Person with HIV/AIDS:

- ❖ DOH will encourage additional rental units for low-income and disabled populations through the NSP Program.

(3) FORECLOSURES:

- ❖ The Division continues to sponsor the Foreclosure Hotline to assist homeowners to avoid foreclosures through one-on-one housing counseling. Total calls year-to-date (through April 2009) are 14,452. Total calls inception-to-date are 69,449. Total positive outcomes inception-to-date are 10,615.
- ❖ DOH received \$500,000 for the Foreclosure Hotline from a settlement with Country-Wide Mortgage Co. The Division will use the settlement to fund a Minority Outreach Program.
- ❖ DOH received over \$37M in Neighborhood Stabilization Program (NSP) Funding to address foreclosures. DOH allocated its dollars to the highest need areas which includes the following: Adams, Arapahoe, Broomfield,

Denver, Douglas, El Paso, Jefferson, Pueblo and Weld Counties, and the Cities of Aurora, and Colorado Springs.

- ❖ DOH awarded \$100,000 to four agencies for Housing Counseling.

(4) NEED FOR FAIR HOUSING EDUCATION AND COORDINATION:

- ❖ Using its CDBG TA dollars, the Division provided Fair Housing Training in the communities of Greeley, Grand Junction, Denver and Pueblo. Topics included Fair Housing and Predatory Lending.

(5) HOMEOWNER ASSOCIATIONS (HOAs):

- ❖ The Colorado Civil Rights Division (CCRD), the state agency that investigates civil rights violations, educates HOAs about the rights of persons with disabilities.

(6) NIMBY:

- ❖ DOH development staff members routinely work with affordable housing providers and local jurisdictions to encourage early communications and informational meetings about affordable housing projects in their communities.
- ❖ In the past year, DOH provided 50 copies of "Housing Colorado: A Guide for Local Officials to elected and appointed officials. The Guide has a chapter about ameliorating the "Not in My Backyard" syndrome.

(7) LANGUAGE/CULTURAL BARRIER:

- ❖ DOH will provide a grant for Minority Outreach to ensure that information about foreclosures reaches minority populations throughout Colorado.
- ❖ The Division works with the Colorado Civil Rights Division on language and cultural barriers.

(8) FAMILIAL STATUS:

- ❖ During 2008, DOH provided training to 55 Emergency Shelter Grant subgrantees about the issue of Familial Status.

(9) LAND USE REGULATIONS:

- ❖ Various staff within the Division of Local Government and DOH routinely work with local jurisdictions to discuss growth practices, land use planning and the relationship to affordable housing.
- ❖ DOH examines regulatory barriers and impact fees on a regular basis.

(10) PREDATORY LENDING PRACTICES:

- ❖ The Division continues to sponsor the Foreclosure Hotline to assist homeowners to avoid foreclosures through one-on-one housing counseling. Total calls year-to-date (through April 2009) are 14,452. Total calls inception-to-date are 69,449. Total positive outcomes inception-to-date are 10,615.
- ❖ DOH received \$500,000 for the Foreclosure Hotline from a settlement with Country-Wide. The Division will use the settlement to fund a Minority Outreach Program to provide information that will prevent minorities from falling victim to predatory lending practices.
- ❖ DOH and the Division of Civil Rights provided information to 10 Neighborhood Stabilization Program grantees to assist them in their efforts to combat predatory lending.
- ❖ DOH co-sponsors housing counseling programs in Colorado.

(11) TRANSPORTATION:

- ❖ DOH provided funding to two transit-oriented development projects in urban areas during the past year.
- ❖ Twelve Housing Needs Assessments funded by DOH have examined commuting patterns as they relate to affordable housing. These needs assessments made recommendations to those local governments.

(12) LANDLORD/TENANT ISSUES

Illegal Evictions:

- ❖ DOH facilitated and funded Fair Housing Training in the Mesa and Montrose County area. A special topic of discussion was landlord/tenant issues.

(13) HOUSING DISCRIMINATION:

- ❖ Using its CDBG TA dollars, the Division provided Fair Housing Training in the communities of Greeley, Grand Junction, Denver and Pueblo. Topics included Fair Housing and Predatory Lending.

(14) STEERING:

- ❖ Using its CDBG TA dollars, the Division provided Fair Housing Training in the communities of Greeley, Grand Junction, Denver and Pueblo. Topics included Fair Housing and Predatory Lending.
- ❖ DOH hosted the Colorado Civil Rights Division to a Neighborhood Stabilization forum to talk to local jurisdictions about how to avoid steering in neighborhood stabilization activities.

(15) INCOME/WAGE ISSUES: Wages in Colorado have not kept pace with the costs of renting or purchasing a home. This creates an impediment to Fair Housing because Colorado's more vulnerable populations may be unable to rent an affordable unit or may be severely cost-burdened.

- ❖ The Division funded twelve housing needs assessments that examined wages in relation to affordable housing.

(16) HOUSING VISITABILITY:

- ❖ The State Housing Board adopted policies about visitability of DOH-funded affordable housing projects. DOH funded five hundred sixty-three Visitable units during the past year.

(17) INSUFFICIENT HOUSING FOR HOMELESS PERSONS:

- ❖ The Division funded 50 units of permanent affordable housing for homeless persons with disabilities in FY2008.

4. Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.

The Department of Local Affairs took the actions described in its Consolidated Plan and Action Plan.

5. Leveraging Resources

- a. Identify progress in obtaining "other" public and private resources to address needs.
- b. How Federal resources from HUD leveraged other public and private resources.

c. How matching requirements were satisfied.

During this Consolidated Plan Year, the Division of Housing awarded \$11,937,957 in HOME and CDBG funds, leveraging \$142,521,698 in private and other public funding, a ratio of 11.9:1. See the table below for details.

Award Date	Grantee	Project	Fund Source	Award Amount	Leveraging
10/10/08	ALAMOSA COUNTY	HOUSING NEEDS ASSESSMENT	CDBG	\$100,000	\$10,000
03/12/09	BOULDER COUNTY HA /LONGS PEAK ENERGY CONSERVATION	SINGLE FAMILY REHABILITATION	CDBG	\$250,000	0
07/07/08	BROTHERS REDEVELOPMENT	FAIRWEATHER LANDINGS SENIOR RENTAL NEW CONSTRUCTION	HOME	\$400,000	\$17,547,412
09/23/08	CARBONDALE HA	SENIOR RENTAL NEW CONSTRUCTION	CDBG	\$225,000	\$2,678,390
12/01/08	CHAFFEE COUNTY	CHDO OPERATING	HOME	\$50,000	\$25,100
05/22/08	CITY OF ALAMOSA	HOMELESS ASSISTANCE	CDBG	\$51,000	\$2,000
03/30/09	CITY OF CORTEZ	HOUSING NEEDS ASSESSMENT	CDBG	\$74,300	0
04/11/08	CITY OF LONGMONT	SINGLE FAMILY DOWN PAYMENT ASSISTANCE	HOME	\$112,054	\$2,554,439
12/30/08	CONEJOS COUNTY HA	PLAZA DEL SOL MANOR RENTAL REHAB	CDBG	\$265,000	0
11/19/08	CROWLEY COUNTY	SINGLE FAMILY REHAB/NEW CONSTRUCTION	CDBG	\$93,000	\$371,100
12/02/08	DEL NORTE NDC	CHDO OPERATING	HMCO	\$16,000	0
04/02/08	DELTA HA	VILLAS AT THE BLUFF RENTAL NEW CONSTRUCTION	CDBG	\$750,000	\$7,769,800
01/29/09	DELTA HA	SINGLE FAMILY REHAB	CDBG	\$95,729	\$210,000
08/05/08	DOUGLAS CO HOUSING PARTNERSHIP	DOWN PAYMENT ASSISTANCE	HOME	\$400,000	\$8,335,429
05/02/08	DOUGLAS COUNTY HOUSING PARTNERSHIP	LINCOLN POINTE LOFTS RENTAL NEW CONSTRUCTION	HOME	\$580,000	\$14,577,674
06/06/08	ENGLEWOOD HA	TERRACES ON PENN SR RENTAL NEW CONSTRUCTION	HOME	\$300,000	\$11,347,624
09/25/08	FREMONT COUNTY	CHDO OPERATING	CDBG	\$78,050	\$12,500
09/24/08	FREMONT COUNTY	SINGLE FAMILY REHABILITATION	CDBG	\$171,350	\$152,300
07/02/08	FREMONT COUNTY	PRAIRIE SAGE SELF-HELP HOMEOWNERSHIP LAND ACQ	CDBG	\$372,080	\$5,565,580
09/16/08	GRECCIO HOUSING	GRECCIO HSG CHDO OPERATING	HOME	\$50,000	\$603,634
06/06/08	GREELEY SUPPORTIVE HOUSING	SENIOR HOUSING RENTAL NEW CONSTRUCTION	HOME	\$485,000	\$3,150,716
12/02/08	GROWING HOME, INC.	GROWING HOME CHDO OPERATING	HMCO	\$25,000	0
09/23/08	GUNNISON COUNTY HA	HOUSING NEEDS ASSESSMENT	CDBG	\$70,000	0
12/01/08	HOPE COMMUNITIES, INC.	HOPE COMMUNITIES CHDO OPERATING	HMCO	\$16,000	0
11/19/08	HUERFANO COUNTY	SINGLE FAMILY REHABILITATION	CDBG	\$350,000	\$528,372
05/22/08	LARIMER COUNTY	HOMELESS ASSISTANCE	CDBG	\$98,300	\$93,500
07/16/08	LARIMER COUNTY	DOWNPAYMENT ASSISTANCE	CDBG	\$738,600	\$1,3892,452
10/28/08	LARIMER COUNTY	SINGLE FAMILY REHABILITATION	CDBG	\$202,700	\$7,000

09/16/08	LAS ANIMAS COUNTY	DOWNPAYMENT ASSISTANCE	CDBG	\$42,100	\$717,114
08/15/08	LONGMONT HDC	HOVER CROSSING SENIOR RENTAL NEW CONSTRUCTION	HOME	\$400,000	\$8,045,612
09/17/08	MONTROSE COUNTY HA	VOA SENIOR HSG RENTAL NEW CONSTRUCTION	CDBG	\$571,696	\$4,167,653
09/17/08	MONTROSE COUNTY HA	HOUSING NEEDS ASSESSMENT	CDBG	\$40,000	0
05/20/08	MONTROSE COUNTY HA	SUNSHINE PEAK RENTAL NEW CONSTRUCTION	CDBG	\$500,000	\$6,741,903
02/12/09	MORGAN COUNTY	DOMESTIC VIOLENCE SHELTER ACQUISITION	CDBG	\$240,100	\$117,500
12/02/08	NEWSED CDC	NEWSED CDC CHDO OPERATING	HMCO	\$16,000	0
12/01/08	NORTHEAST DENVER HOUSING CENTER	NEDHC CHDO OPERATING	HOME	\$16,000	0
11/19/08	OTERO COUNTY	SINGLE FAMILY SELF-HELP REHABILITATION	CDBG	\$275,000	\$721,000
02/24/09	PAGOSA SPRINGS	RENTAL SITE ACQUISITION	CDBG	\$225,000	0
02/13/09	PARK COUNTY	HOUSING NEEDS ASSESSMENT	CDBG	\$49,975	0
05/27/08	PARTNERS IN HOUSING	CHDO OPERATING	HOME	\$62,500	\$37,929
12/01/08	POSADA, INC.	CHDO OPERATING	HOME	\$25,000	\$853,737
05/22/08	PUEBLO COUNTY	HOMELESS ASSISTANCE	CDBG	\$59,281	0
07/07/08	PUEBLO HA	RENTAL ACQUISITION & REHAB	HOME	\$250,000	\$250,000
03/30/09	RIO BLANCO COUNTY	HOUSING NEEDS ASSESSMENT	CDBG	\$49,500	0
12/01/08	ROCKY MOUNTAIN HDC	CHDO OPERATING	HMCO	\$16,000	0
11/21/08	SAN JUAN COUNTY	SINGLE FAMILY REHAB ADMINISTRATION	CDBG	\$144,742	\$184,000
09/23/08	SUMMIT COMBINED HA	SINGLE FAMILY DOWNPAYMENT ASSISTANCE	CDBG	\$45,960	\$2,805,321
12/04/08	THISTLE COMMUNITY HOUSING	THISTLE COMMUNITY HSG CHDO OPERATING	HMCO	\$16,000	0
05/20/08	THISTLE COMMUNITY HOUSING	THE CANNERY APTS RENTAL ACQ & REHABILITATION	HOME	\$750,000	\$8,958,191
03/20/09	TOWN OF BRECKENRIDGE	BROOK SUBDIVISION SINGLE FAMILY NEW CONSTRUCTION	CDBG	\$750,000	\$15,239,775
04/21/08	TOWN OF FOWLER	DOWNPAYMENT ASSISTANCE	CDBG	\$61,880	\$1,306,500
06/26/08	TOWN OF FOWLER	HOUSING NEEDS ASSESSMENT	CDBG	\$25,000	\$2,500
04/04/08	TOWN OF WINDSOR	HOUSING NEEDS ASSESSMENT	CDBG	\$34,850	\$15,130
06/19/08	TRI-COUNTY HOUSING & CDC	TCHCDC SFOO REHABILITATION	CDBG	\$449,110	\$283,550
12/26/08	TRI-COUNTY HOUSING & CDC	CHDO OPERATING	CDBG	\$62,700	\$26,121
09/17/08	TRINIDAD HA	HOMELESS ASSISTANCE	CDBG	\$45,000	\$2,750
03/20/09	VOLUNTEERS OF AMERICA	LAWRENCE PLACE RENTAL NEW CONSTRUCTION	HOME	\$225,000	\$2,542,390
05/22/08	WELD COUNTY HA	HOMELESS ASSISTANCE	CDBG	\$68,000	\$68,000
08/06/08	YAMPA VALLEY HOUSING AUTHORITY	HOUSING NEEDS ASSESSMENT	CDBG	\$52,400	0
TOTAL				\$11,987,957	\$142,521,698

Managing the Process

1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

The Department of Local Affairs/Division of Housing took the following steps to ensure compliance:

- ❖ All staff members attended CDBG boot camp in July 2008.
- ❖ Updated our funding application and monitoring documents.
- ❖ Implemented a competitive funding cycle.
- ❖ Updated our "User" policy and procedures.
- ❖ Reviewed and revised the DOH funding application.
- ❖ Reviewed and revised our contract templates
- ❖ Reviewed and revised our monitoring procedures
- ❖ Enlisted HUD's TA provide for CDBG

Citizen Participation

1. Provide a summary of citizen comments.
A public hearing will be held on Monday, July 13, 2009. There were no comments.
2. In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period, and the geographic distribution and location of expenditures. Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.

*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

CAPER Citizen Participation response:

Institutional Structure

1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

The Department of Local Affairs took the steps outlined in our Consolidated Plan.

Monitoring

1. Describe how and the frequency with which you monitored your activities.
In order to successfully administer state and federal housing funds, Colorado Division of Housing (DOLA/DOH) has developed a monitoring plan to ensure that the

the course of grant and or loan administration, Asset Managers (AMs) and other DOLA/DOH staff monitor project performance in a variety of ways. This monitoring plan describes DOLA/DOH monitoring methods that focus on the following programs: HOME, CDBG, ESG, State RLF, HDG and Section 8 Rental Assistance Programs.

PROJECT PERFORMANCE PLAN

The Project Performance Plan (PPP) sets forth the goals and milestones that a project must meet in order for it to be successful and in compliance with federal and state requirements. The PPP addresses anticipated project problems and time lines needed to complete and manage the project. The PPP provides the basis for measuring and tracking the grantees' performance through the term of the project. The PPP can include:

- Financial management systems in place
- Development of a maintenance plan
- Development of a marketing plan
- Leasing and occupancy policies
- Risk management implementation
- Construction time lines
- Housing Agency management capacity and production

The PPP is also used to plan DOLA/DOH training and technical assistance. A change in the PPP does not warrant a change letter or contract amendment because it is a reporting form.

The PPP reflects an assessment of the project needs based on the expertise of the DOLA/DOH Housing Developer (DEVO), Asset Manager (AM) and the funding recipient (Grantee). A draft PPP is first developed by the DEVO based on their view of the needs of the project. The AM then adds their performance measurement suggestions to the PPP. The AM will contact the DEVO if there are any discrepancies regarding the PPP. The grantee is also made part of the preparation of the PPP and this is usually done in the following manner:

- A draft copy of the PPP can be faxed to the contractor for input before the contract is mailed to the grantee for signature.
- The grantee can be contacted by phone
- A meeting can be set up at DOLA/DOH or grantee's office to review and prepare the PPP
- The DEVO can inform and develop the PPP at the initial meetings that take place to discuss the project.
- The grantee receives a copy of the final PPP with a copy of the executed contract.

Project Performance Plans vary, as do the different types of projects that are funded by DOLA/DOH. To ensure all major milestones are covered in the PPP, templates covering the different types of developments and projects have been developed. These templates are not intended to be all-inclusive, as each development team has the ability to tailor the PPP to the individual projects. In addition, the PPP templates contain an additional column that can be used by the Grantee to track quarterly performance. Because the PPP covers all critical milestones a project must meet, AM's are able to easily determine if a project is on track or if revisions must be made. Some projects will have limited performance measures because the developer is high functioning and/or another organization is involved in the project.

Other organizations that could be involved include CHFA, Mercy Housing, USDA Rural Development, HUD or a private lender. These organizations often provide project oversight in such areas as construction monitoring, maintenance plans and property inspections. When other monitoring systems are in place, DOLA/DOH does not duplicate these efforts. On the other hand, some projects will have intense and detailed PPP in that a first-time developer may be involved and/or there has been staff turnover.

ONGOING PROJECT MONITORING

DOLA/DOH requires each project it funds to submit monthly or quarterly reports that provide AMs and other DOLA/DOH staff a project update and flags pending or anticipated problems.

Quarterly Financial Report

The financial quarterly report lists the full financial status of the project, including fund balances of the loan or grant provided. The quarterly financial report applies to HOME, HDG, ESG and CDBG projects. AMs reconcile the quarterly financial performance reports against the contract to track timely drawdown. AMs also contact the grantee or borrower by telephone or e-mail on a monthly basis to track project performance.

Section 8 Monthly Financial Reports

The Section 8 Contractors are required to submit monthly Housing Assistance Payment (HAP) requests and Lease Status Reports. These reports are used to track the utilization of the program, initiate rental payment changes and certify the rental assistance payments to landlords and participating families. AMs and DOLA/DOH Section 8 staff provide technical support on an on going basis when needed for program compliance.

RISK-BASED ON-SITE CONTRACT MONITORING

DOLA/DOH Asset Managers monitor each project on site to ensure that the project is in compliance with the applicable federal and state requirements. Because some projects need more attention than others, DOLA/DOH has developed a Risk-Based Monitoring approach. DOLA/DOH Risk-Based monitoring allows AMs to focus more time on projects that are at higher risk of encountering problems during the project development.

The level of monitoring for the project is determined by management. The Developer and Asset Manager discuss the capacity of each grantee and determine the level of monitoring before recommending it to the Program Manager. The level of monitoring will be listed on the PPP attached to the grantee's contract or on the semi-annual monitoring schedule established by the AM. The level of monitoring may be changed during the term of the contract if needed and does not warrant an amendment to the contract. Projects are placed in one of the following three categories:

FULL - A FULL monitoring determination requires an Asset Manager to address all identified areas pertaining to the project within the regular DOLA/DOH monitoring documents. The asset manager will also have to visit the project site and complete a housing quality standards inspection on a minimum of 5% of the units. The Developer and Asset Manager will recommend a FULL monitoring if any of the following conditions are present:

- New Grantee - Grantee who has never received funding from DOLA/DOH and/or has not received funding in the last three years.
- New activity for existing grantee
- Complicated project
- Unresolved findings or concerns on last contract
- Repeated instances of findings or concerns
- Existing Grantee - new staff in key positions
- Staff recommendation due to unexpected problems occurring during the project.
- Davis-Bacon Project

PARTIAL - A PARTIAL monitoring requires the asset manager to complete a modified monitoring form and perform a site inspection. The grantee may be asked to supply reports such as rent rolls through the mail or fax. The Developer may assist the Asset Manager in performing the site inspection if convenient. The Developer and Asset Manager will recommend a PARTIAL monitoring if the project contains the following:

- Uncomplicated project
- Repeat grantee-same/similar type project
- Grantee had no findings during last monitoring
- Grantee is considered moderate in administrative capacity

Within the PARTIAL monitoring category, the Asset Manager can designate a project as a Self-Certification monitoring, which are conducted on operating and needs assessment contracts. The grantee completes a modified monitoring form pertaining to the use of the funding award. The self-certification monitoring form is then notarized by the grantee and sent back to the Asset Manager.

MINIMUM - A MINIMUM monitoring can only apply to a continuing program such as SFOO Rehab, down payment assistance, ESG or Section 8 Rental Assistance. This type of monitoring requires only the grantee technical assistance if needed and the contractual monthly/ quarterly reporting documents. If a grantee is very high functioning, an on-site visit may be delayed for up to two (2) years. The Asset Manager and Developer will only approve this type of monitoring if the project contains the following:

- Grantee has not received any findings or concerns in the past two (2) years.
- Grantee is considered a high-functioning project administrator.

All public facility and economic development projects are monitored on-site.

PROJECT CLOSE OUT

HOME, CDBG, ESG and HDG projects are completely closed out upon the final completion of the project. Reporting is required on the following areas:

- Project Description: Full project description summarizing the specific activities undertaken with state or federal funds.
- Actual Accomplishments: List all project accomplishments.
- Remaining Actions: Include any remaining actions and the date of anticipated completion.
- Audits: Name and address of firm selected to do the audit(s) and the date when the audit(s) will be completed.
- Total Actual Expenditures for the Activity: All actual expenditures for each activity and expenditures from other funds are listed. Include the names of the sources and the total amounts of the funds. Refer to the proposed budget in the contract Scope of Services and compare.
- Project Beneficiaries: Beneficiaries of the project for all activities are listed.
- Program Income: Program income generated will be reported now and in the future.
- Actions to affirmatively further fair housing: Fair housing efforts and complaints will be reported.
- Section 3 and Davis Bacon: All Section 3 requirements and Davis Bacon activities will be reported.

HOME LONG-TERM MONITORING

HOME-funded rental projects are required to comply with HOME regulations throughout the term of affordability. DOLA/DOH conducts an on-site monitoring of these projects based on the number of HOME units funded:

- At least every three years for projects containing one to four units;
- At least every two years for projects containing five to twenty-five units;
- At least once a year for projects containing more than 26 units.

DOLA/DOH requires submission of yearly rent rolls and self-certification of income and lawful presence by mail in the years between on-site monitoring.

2. Describe the results of your monitoring including any improvements.

We find that our PPP helps to keep our grantees on track in meeting program requirements on time. The use of a risk-based approach allows for the best use of staff time because Asset Managers can focus on those projects most likely to encounter problems.

Self Evaluation

Describe the effect programs have in solving neighborhood and community problems.

The 45 shelters that received ESG funding help reduce the number of homeless people sleeping on the streets by making emergency shelter space and services available. By providing both transitional and permanent housing, we help formerly homeless people rejoin society. This year we provided 50 apartments to permanently house the homeless.

By funding construction, acquisition and rehabilitation of rental properties we increase the availability and affordability and improve the quality of housing for people with low and moderate incomes.

Our funding of down payment assistance makes permanent, stable housing available to people who otherwise would not be able to purchase a home because home prices

have so far outstripped wages in many areas of the state. Down payment assistance also allows disabled, low income people to purchase homes of their own. Thanks to the funding we receive through HUD's housing programs, fewer people must decide between eating or paying the rent. Public facility development gives people access to clean water and other necessities for a decent life, while economic development helps give people access to jobs in the places where they live and promotes a more stable and fully-functioning community.

Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.

See the Summary of Annual Objectives table, Appendix A, for our progress in reaching our five -year consolidated plan goals.

Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.

Over 98% of the housing units we funded served people with incomes below 80% of the area median. Our CDBG Financial Summary Report (PR 26) from IDIS demonstrates that over 96% of our CDBG funds served those with incomes below 80% AMI.

Indicate any activities falling behind schedule.

- ❖ Funding for urgent needs such as natural disasters proved to be unnecessary during the report year.
- ❖ We did not implement tenant-based rental assistance for non-homeless people because the economic situation did not require it.
- ❖ No new projects were presented to us this year for Land trusts or individual development accounts.

Identify barriers that had a negative impact on fulfilling the strategies and overall vision.

Lack of credit availability and LIHTC funding and has stalled several large-scale projects, as well as hampering down payment assistance programs.

Identify whether major goals are on target and discuss reasons for those that are not on target.

Our major goals are on target, and several activities have exceeded their five-year goals.

Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.

The Department of Local Affairs will begin taking a collaborative department wide approach to funding CDBG projects in order to use these funds in the most effective manner possible.

We expect the Divisions of Housing's new cyclical competitive award process will better match our awards to the priorities we have set to best meet community needs. By comparing like projects each month, instead an assortment of different types, it will be easier to determine which proposed projects have the most merit. In addition, the needs assessments we have been gathering should give us more objective, concrete data on what Colorado's community needs really are.

Lead-based Paint

1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

DOLA/DOH has three (3) primary strategies for complying with the lead-based paint regulations.

- 1) Increase partnerships to reduce lead-based paint hazards
- 2) Provide public information and education
- 3) Increase delivery system and technical capacity

During the reporting period, DOLA/DOH completed the following activities to meet the strategies outlined above;

- 1) DOLA/DOH staff continued to participate in the Colorado Lead Coalition. The Colorado Lead Coalition completed work on a statewide Lead-Based Paint Strategic Plan during this reporting period. Efforts to implement this plan are on-going and DOLA/DOH staff is involved in this implementation.
- 2) DOLA/DOH staff responded to several requests for lead-based paint regulation interpretation during this reporting period and DOLA/DOH continues to maintain and manage a lead-based paint resource library for the public and DOLA/DOH grantees.
- 3) DOLA/DOH, through its grantees, ensured that all funded projects meet the appropriate lead-based paint inspection and mitigation regulations during this reporting period.
- 4) DOLA/DOH continued to build internal capacity to manage the lead-based components of our housing activities through the training of staff in Lead-Safe Work Practices and in regulation interpretation.

HOUSING

Housing Needs

*Please also refer to the Housing Needs Table in the Needs.xls workbook.

1. Describe Actions taken during the last year to foster and maintain affordable housing.

Colorado works diligently to fund as many very-low and low-income units as possible while preserving project viability. The table below displays the number of units that we funded across income levels. Ninety-eight percent of the units we produced served households at or below 80% of Area Median Income.

Specific Housing Objectives

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.

	Percentage of Area Median Income					
Project Type	0 - 30%	31-40%	41 – 50%	51 - 60%	61 - 80%	Over 80%

Homeless	26	4	20	0	0	0
New Homeowners	0	0	0	0	271	20
Homeowner Rehab	0	0	0	0	153	0
Rental Units	52	117	242	326	0	6
Special Populations	26	0	14	0	0	0
Senior Housing	12	18	112	6	0	4
Tenant Based Rental Assistance Targeted to Homeless	150	0	0	0	0	0
TOTAL	266	139	388	332	424	30
% of TOTAL	17%	8.8%	24.6%	21%	26.8%	1.9%

2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.

The Division did not set numeric goals for production by percent of AMI, but rather has a policy of including as many 30 to 50% AMI units as economically possible in each project.

<30% AMI	ACTUAL
Tenant Based Rental Assistance for Homeless	150
Homeless units/beds	11
Special Populations	26
Senior Housing	12
<30% Rental Units	58
Subtotal	257
31-50% AMI	
Homeless Units/beds	0
31-50% Rental Units	364
Senior Housing	130
Subtotal	489
TOTAL	751

3. Describe efforts to address "worst-case" housing needs and housing needs of persons with disabilities.

The Division of Housing requires that all subgrantees comply with Section 504 requirements on housing for persons with disabilities. In addition, the State Housing Board has adopted a policy that encourages the inclusion of visitability design features in affordable housing projects in Colorado.

Public Housing Strategy

1. Describe actions taken during the last year to improve public housing and resident initiatives.

The State of Colorado does not operate any housing authority so it does not have programs to improve housing or provide resident initiatives.

Barriers to Affordable Housing

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

Please see the "Affirmatively Furthering Fair Housing" section beginning on page 9.

HOME/ American Dream Down Payment Initiative (ADDI)

1. Assessment of Relationship of HOME Funds to Goals and Objectives
 - a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.

Use of HOME funds was essential to DOLA/DOH's efforts to provide affordable housing. HOME funds were used to produce 804 units of housing, 532 (66.1%) of which are affordable to households at or below 50% of AMI.

The projects that we funded and the income levels of households they benefit are listed in the table below.

2008-2009 HOME-Funded Projects with Number of Units by Percent of AMI

GRANTEE	HOME Funds	30%	40%	50%	60%	80%	>80%
Homeownership Assistance							
DOUGLAS CO. HSG PARTNERSHIP	\$400,000					43	
LONGMONT	\$112,054					48	
Rental Acquisition and Rehab							
PUEBLO HOUSING AUTHORITY	\$250,000				130		
THISTLE COMMUNITY HSG	\$750,000	5		79	10		
CITY OF LAKEWOOD HFS	\$500,000	12	45	40	34		1
Rental New Construction							
DENVER VOLUNTEERS OF AMERICA	\$225,000			14			
ACCESSIBLE SPACE	\$485,000			22			1
DOUGLAS CO. HSG PARTNERSHIP	\$580,000		13	120			
CITY OF PUEBLO HOUSING AUTHORITY	\$300,000	6	5	10	4		
LONGMONT HSG DEVELOPMENT CORP	\$400,000			49			1
ENGLEWOOD HOUSING AUTHORITY	\$300,000		24	38			
ROCKY MOUNTAIN DEVELOPMENT CORP	\$323,759	26	4	20			
TOTALS		49	91	392	178	91	3

2. HOME Match Report
 - a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.

Please see attached report.

3. HOME MBE and WBE Report

- a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).

Please see attached report.

4. Assessments

- a. Detail results of on-site inspections of rental housing.
 - Project # 05-040, Forest Manor Apartments, onsite inspection revealed that rent was being overcharged, that rehabilitation of the unit had not been completed, and that the income limits and rent limits listed in the file were incorrect.
 - Project # 06-020, Fox Creek Village, file showed no proof of annual income or HQS inspections.
 - Project # 07-013, Allison Village apartments, 2 HQS violations which were resolved 9/11/2007
 - Project # 07-022, City and County of Broomfield Tenant Based Rental Assistance, no environmental clearance or lead-based paint form on file.
 - Project # 95-012, Park Hill Residence, Senior Housing Options – window on unit does not close or lock.

- b. Describe the HOME jurisdiction's affirmative marketing actions.

The DOLA/DOH loan/grant application requires that all applicants certify that they will affirmatively further fair housing and comply with the civil rights act of 1964 and 1968. Applicants must also address the requirements for handicapped accessible units in their project application and a public hearing must be conducted to gather public and private comments on the proposed project. The meetings must be handicapped accessible and outreach must be done to non-English speaking citizens.

DOLA/DOH contracts require compliance with all applicable civil rights laws, including Section 504, Section 3 and the Age Discrimination Act.

DOLA/DOH project performance plans often list outreach and affirmative marketing plan requirements. When needed, DOLA/DOH staff will provide technical assistance to a grantee so that they may comply with the civil rights requirements. DOLA/DOH asset managers monitor each project to further ensure civil rights compliance. The DOLA/DOH Project Close-Out (PCO) process requires the reporting of direct benefit activities in order to track those who have been served with federal/state funding. The PCO also requires the grantee to list in writing the actions they have undertaken to affirmatively further fair housing.

DOLA/DOH maintains monitoring records and project close out data demonstrating that it has reviewed the civil rights performance of each grantee it funds.

DOLA/DOH grant recipients document the actions they have carried out to affirmatively further fair housing.

- Rental projects of 5 units or more funded with HOME dollar are required to develop an Affirmative Marketing Plan (Plan). DOLA/DOH staff monitor projects to ensure that the Plans have been developed and implemented.
- Grantees receiving CDBG funds are required to administer their program using the CDBG Guidebook Civil Rights Section V. This section contains guidance on 504 requirements, Section 3, affirmative action on fair housing,

employment, training, contracting and business opportunities. It also contains section on remedying and overcoming past discrimination and record keeping requirements. DOLA/DOH asset managers monitor programs receiving CDBG funds to ensure they have implemented these same federal requirements.

- ESG funding recipients are monitored on their outreach efforts to ensure that all within their community is receiving information on the services offered. 504 Self-Assessment documentation is reviewed for all agencies that utilize DOLA/DOH funds to provide services to the public.

At project close out, DOLA/DOH requires each grant recipient to track beneficiary information on the individuals/families that they serve. The grantee must list in writing the project beneficiaries by area median income, race, ethnicity, disability and head of household gender. The grantee is also asked to report on all contracts and sub-contracts with Minority Business Enterprises (MBEs) and Women Business Enterprises (WBEs). They must also list minority owners of rental property.

The following examples demonstrate DOLA/DOH's efforts to affirmatively further fair housing in the past year during its monitoring visits:

- DOLA/DOH requires that Fair Housing logos be placed on all agency publications
- DOLA/DOH requires that Colorado relay Service be used if agency does not have a TDD service
- DOLA/DOH provides documentation on 504 Self-Assessment requirements and requires that grantees implement them
- Grantee procurement policies are reviewed and recommendations are made on doing outreach to minority/women owned businesses.
- Handicapped accessible units are inspected during monitoring visits

c. Describe outreach to minority and women owned businesses.

The Division of Housing reviews each Grantee's procurement policies and makes recommendations on doing outreach to minority and women-owned businesses. When an affordable housing project or program is awarded funding from DOLA/DOH, the funding recipient receives guidance on the federal/state civil rights compliance requirements. Guidance provided is set forth within the terms of the grantee's contract, the contract project performance plan, monitoring compliance requirements and technical assistance given to grantees by DOLA/DOH staff. DOLA/DOH funding recipients know early on that they will be required to demonstrate how they comply with the civil rights requirements and how their organization affirmatively furthers fair housing.

These procedures resulted in subcontracts worth \$151,770 being awarded to 2 women-owned business enterprises and 2 subcontracts with a value of \$681,387 to minority-owned businesses during the reporting period.

HOMELESS

Homeless Needs

(1) DOLA/DOH coordinated with the three Colorado Continuums of Care (CoCs): Metropolitan Denver Homeless Initiative (MDHI); Homeward Pikes Peak and the Balance of State.

(2) DOLA/DOH will continue to provide financial assistance to projects that create permanent supportive housing for chronically homeless individuals or families in coordination with those Continuums of Care;

(3) DOLA/DOH will continue to fund nonprofit organizations using HOME, ESG, HOPWA and CDBG funding to assist with supportive services for chronically homeless persons.

Obstacles to completing these action steps include lack of adequate funding and agency capacity to develop housing solutions.

1. Identify new Federal resources obtained from Homeless SuperNOFA.

Federal Resources from SuperNOFA	
Metropolitan Denver Homeless Initiative CoC	\$14,212,456
Homeward Pikes Peak CoC	\$ 1,225,103
Balance of State CoC	\$ 2,351,332
TOTAL McKinney Vento Homeless Assistance (SHP)	\$17,788,891.00

Specific Homeless Prevention Elements

1. Identify actions taken to prevent homelessness.

DOLA/DOH provided 21 agencies with homeless prevention dollars through the Emergency Shelter Grant Program and the Community Development Block Grant Program. The Division continued to work with a collaborative endeavor to prevent foreclosures and strengthened a housing counseling program to assist families in maintaining their homeownership. Research indicates that many low-income families are victims of or at risk of predatory lending practices.

Emergency Shelter Grants (ESG)

Of the \$942,220 received by the State, \$895,109 was distributed by a competitive application process to 45 agencies and local governments located in twelve different State planning regions. The State retained \$47,111 which was allocated for state and local administration. Homeless prevention activities accounted for \$232,974 \$247,950 for essential services, \$89,925 for staff operating and the balance of \$324,260 went to local operating costs.

A dollar-for dollar match is required for the ESG program which our agencies met through foundations, local government match, private contributions, and volunteer hours. Please see the attached ESG Match Report.

1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).

The Colorado Division of Housing continues to address emergency shelter and transitional housing needs of homeless individuals and families in a way that meets the needs of Colorado communities.

During the period of April 1, 2008 – March 31, 2009, the Division funded 1,086 homeless shelter beds and 158 transitional housing beds through Emergency Shelter Grants.

2. Assessment of Relationship of ESG Funds to Goals and Objectives

- a. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.

During the period, 21 agencies received homeless prevention dollars, of which 11 agencies received only homeless prevention and provided services to approximately 6,074 persons.

- b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.

The Division of Housing has a comprehensive set of strategies to decrease homelessness in Colorado that include the ESG program, Community Development Block Grant funding for shelters and homeless services; creation of transitional housing units and permanent supportive housing (utilizing HOME dollars), and Colorado Housing Development Grants to create housing for special needs, homelessness and affordable housing.

ESG projects allow homeless shelters and transitional housing providers to have access to a funding stream that provides for operations, staff operations and essential services that stabilize clients. At least 15,245 persons received assistance that helped provide this stability.

3. Matching Resources

- a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.

Please see attached ESG matching report.

4. State Method of Distribution

- a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as subrecipients.

The State's method of distribution is consistent with details published in the 2008-2009 Action Plan.

- (1) An RFP was issued to invite ESG participation by interested agencies;
- (2) For non-metropolitan Denver, ESG application kits were mailed to previously-funded local governments and nonprofit organizations, with other homeless providers receiving application kits upon request. The State also used CDBG funding for homeless services in rural areas.
- (3) In metropolitan Denver, application kits were mailed to previously funded projects;
- (4) A scoring system was implemented for the application process;
- (5) Evaluation of all projects occurred using criteria published in the Action Plan.

5. Activity and Beneficiary Data

- a. Completion of attached Emergency Shelter Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.

Regarding collecting, reporting and evaluating the reliability of this information, we note that we must constantly “retrain” subgrantees due to turnover, capacity issues, etc. We have now instituted a competitive grant process which includes accuracy, timeliness and completeness of reporting as scoring factors.

- b. Homeless Discharge Coordination
 - i. As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.

The State works with the Community and Interagency Council on Homelessness as a partner in improving and coordinating discharge from institutions.

ESG Homeless Prevention Funds do sometimes assist individuals released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.

An example of a program tailored to this purpose is The Empowerment, which works with women released from prison to ensure that they have access to housing through either their transitional program or their homeless prevention program. Program clients also receive job training and counseling as well as other esteem-building services.

- c. Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

The Community and Interagency Council on Homelessness is working on a discharge coordination policy for State-funded institutions.

COMMUNITY DEVELOPMENT

Community Development

*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Assessment of Relationship of CDBG Funds to Goals and Objectives
 - a. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.

DOLA has achieved its goal of responding to the needs of the local governments as illustrated by the types of projects it has funded during the program year.

- b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.

c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons. DOLA has consistently exceeded the expectation of awarding a minimum of 70% of its funds over a three year period to projects that primarily benefit low and moderate-income persons. As shown in Part I of the project annual summaries, 2006, 2007 and 2008 achieved a ratio of 99.3% of its projects were funded under the national objective of low and moderate-income benefit.

2. Changes in Program Objectives

- a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.

In an effort to improve the state's expenditure ratio, the DOLA is focusing on awarding its public facility funds to projects that have all other revenue sources committed and are ready to move forward.

3. Assessment of Efforts in Carrying Out Planned Actions

- a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.
b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.

All requests for certifications of consistency were compared to the Consolidated Plan and approved if the Plan contained a provision for the type of activity proposed.

- c. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.

There have not been any actions taken that hindered the implementation of the Consolidated Plan.

4. For Funds Not Used for National Objectives

- a. Indicate how use of CDBG funds did not meet national objectives.
b. Indicate how did not comply with overall benefit certification.

All CDBG funds used during the report period met National Objectives.

5. Anti-displacement and Relocation – for activities that involve acquisition, rehabilitation or demolition of occupied real property

- a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.

The Colorado Department of Local Affairs (DOLA) and the Colorado Division of Housing (CDH) require that any nonprofit organization or unit of local government adhere to the Residential Antidisplacement and Relocation Assistance Act. Applicants must have in place a formal antidisplacement and relocation assistance plan with signatures from appropriate county and/or municipal officials.

- b. Describe steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.

DOLA and DOLA/DOH make sure that their activities do not trigger the Uniform Relocation Act by (1) educating grant participants of antidisplacement requirements, (2) by screening projects, (3) by requiring timely issuance of information notices to tenants, residents, or potentially displaced households concerning their rights, needs

and preferences. All of these steps are monitored by our Asset Management Team to ensure that they occur in an accurate and timely manner.

c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.
Our Asset Management team monitors the process of issuing information notices and ensures that it occurs in a timely fashion.

6. Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons
a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons:

Grantee, subgrantee, and businesses work in cooperation with the local Job Service Center, as provided in the Job Training Partnership Act (JTPA), and the local Workforce Development Center to screen potential employees for low- and moderate- income status, skills, and abilities, and provide appropriate training, if necessary, to selected individuals for the jobs being offered by the businesses.

b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons:

Nine categories of job titles are noted on the quarterly employment report format required on all CDBG economic development projects, and permanent jobs created or retained in a project are entered in the appropriate category.

c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education:

Not applicable, other than such training provided by the Job Service center or the Workforce Development Center.

7. Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit

a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51% of whom are low- and moderate-income.

For activities that benefit a limited clientele, grantees are required to provide documentation prior to funding that their clientele are at least 51% low and moderate income. If funded, documentation is required at time of project monitoring and again at project close-out using HUD established income levels to verify the low and moderate income benefit. These income levels are included in the grantee contracts.

8. Program income received

a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.

There was no program income received or reported for public facility and economic development projects.

b. Detail the amount repaid on each float-funded activity.

DOLA did not float-fund any activities.

c. Detail all other loan repayments broken down by the categories of housing rehabilitation, economic development, or other.

DOLA did not make any loans out of CDBG funds and there were no loan repayments.

- d. Detail the amount of income received from the sale of property by parcel.

DOLA did not own or sell any property during the report period.

9. Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:

- a. The activity name and number as shown in IDIS;
- b. The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;
- c. The amount returned to line-of-credit or program account; and
- d. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.

Prior period adjustments for disallowed expenditures are being accomplished by means of a voluntary reduction in the State's 2009 CDGB allocation.

10. Loans and other receivables

- a. List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.

The Department of Local Affairs did not float-fund any activities.

- b. List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.
- c. List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.
- d. Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.

The Department of Local Affairs makes only grants with CDBG. Therefore, there are no loans outstanding or in default.

- e. Provide a List of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.

Colorado statutes prohibit the Department from owning any property, therefore this question does not apply.

11. Lump sum agreements

- a. Provide the name of the financial institution.
- b. Provide the date the funds were deposited.
- c. Provide the date the use of funds commenced.
- d. Provide the percentage of funds disbursed within 180 days of deposit in the institution.

The Department of Local Affairs did not enter into any lump sum agreements.

12. Housing Rehabilitation – for each type of rehabilitation program for which projects/units were reported as completed during the program year

- a. Identify the type of program and number of projects/units completed for each program.
- b. Provide the total CDBG funds involved in the program.
- c. Detail other public and private funds involved in the project.

SINGLE-FAMILY OWNER-OCCUPIED REHABILITATION

Grantee	CDBG Funds Awarded	Number of Units	Other Funding
BENT/OTERO/CROWLEY CO.	\$449,110	50	\$283,550
FREMONT CO.	\$171,350	19	\$152,300
LARIMER CO.	\$202,700	15	\$7,000
HUERFANO CO.	\$350,000	25	\$528,372
OTERO CO.	\$275,000	10	\$721,000
SAN JUAN CO.	\$144,742	12	\$184,000
DELTA CO. HSG AUTHORITY	\$95,729	12	\$210,000
BOULDER CO.	\$250,000	10	\$33,545
TOTALS	\$1,938,631.00	153	\$2,119,767.00

No multifamily rehabilitation projects were undertaken with CDBG Funds.

13. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies

- a. Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.

DOLA did not designate any Neighborhood Revitalization areas during the report year.

Antipoverty Strategy

1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.

The Department of Local Affairs took the actions described in the 2008-2009 Consolidated Plan Action Plan.

NON-HOMELESS SPECIAL NEEDS

Non-homeless Special Needs

*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

During the Report year, DOLA/DOH funded four rental new construction projects for seniors, adding 152 new units of affordable supportive housing to the state's inventory. In addition, one new construction project supplied fourteen units with supportive services for people with disabilities.

Using HOPWA funds, the Division of Housing provided rental assistance and supportive services to 62 households comprised of 106 individuals; short-term rent/mortgage/utility assistance and supportive services to another 17 households containing 25 individuals. Of these, 6 people with HIV/AIDS received permanent housing placement assistance.

Specific HOPWA Objectives

*Please also refer to the HOPWA Table in the Needs.xls workbook.

During the reporting period, HOPWA funds granted through the Division of Housing provided rental assistance to 62 households outside the Denver metro area. An additional 17 households received short-term assistance with rent, utilities or mortgage payments. All of these households received a supportive services provided through comprehensive case management.

Please see the HOPWA CAPER attachment for more details on outcomes for the HOPWA program.

OTHER NARRATIVE

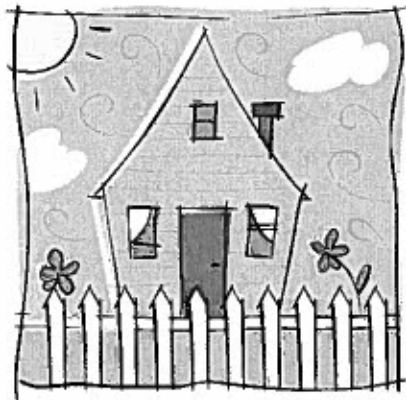
Include any CAPER information that was not covered by narratives in any other section.

State of Colorado
Colorado Department of Local Affairs



COMMUNITY DEVELOPMENT BLOCK GRANT
PERFORMANCE AND EVALUATION REPORT

FEDERAL FISCAL YEARS 2000 - 2008



COLORADO PERFORMANCE AND EVALUATION REPORT

HIGHLIGHTS OF CUMULATIVE ACCOMPLISHMENTS FOR FFY 2000 THROUGH 2008

The CDBG program is designed to help smaller communities meet their greatest community development and housing needs. The needs of low and moderate income persons generally receive priority consideration.

The following are some of the cumulative accomplishments of the program **for federal fiscal years 2000 through 2008.**

- Over \$85.3 million has been obligated by the state to 278 local projects.
- More than 316,945 people are benefiting from these 278 local projects.
- Approximately 79.4% of all persons benefiting from local projects are low and moderate income persons.
- Minority persons benefiting from completed projects are about 24% of all beneficiaries of completed projects.
- About 99.4% of obligated funds have been awarded for local projects in which a majority of beneficiaries are low and moderate income persons. (Approximately .6% have been committed for local projects that eliminate slums or blight.)
- More than \$329 million in other public and private funds has been leveraged into local projects. Over \$181 million has come from private sources and over \$148 million from various public sources.
- About 8.5% of funds have been used for local and state administration expenses. (Federal law allows up to 20% to be used for administration.)
- 75% of all projects have been outside of the "Front Range" counties (Regions 2, 3, 4 and 7).
- 44.3% of all projects have been in the state's most economically distressed "southern tier" from the Kansas/Oklahoma border on the east to the Utah border on the west (Regions 6, 7, 8, 9 and 14).
- Since 2000, the number of projects, by type, has been:

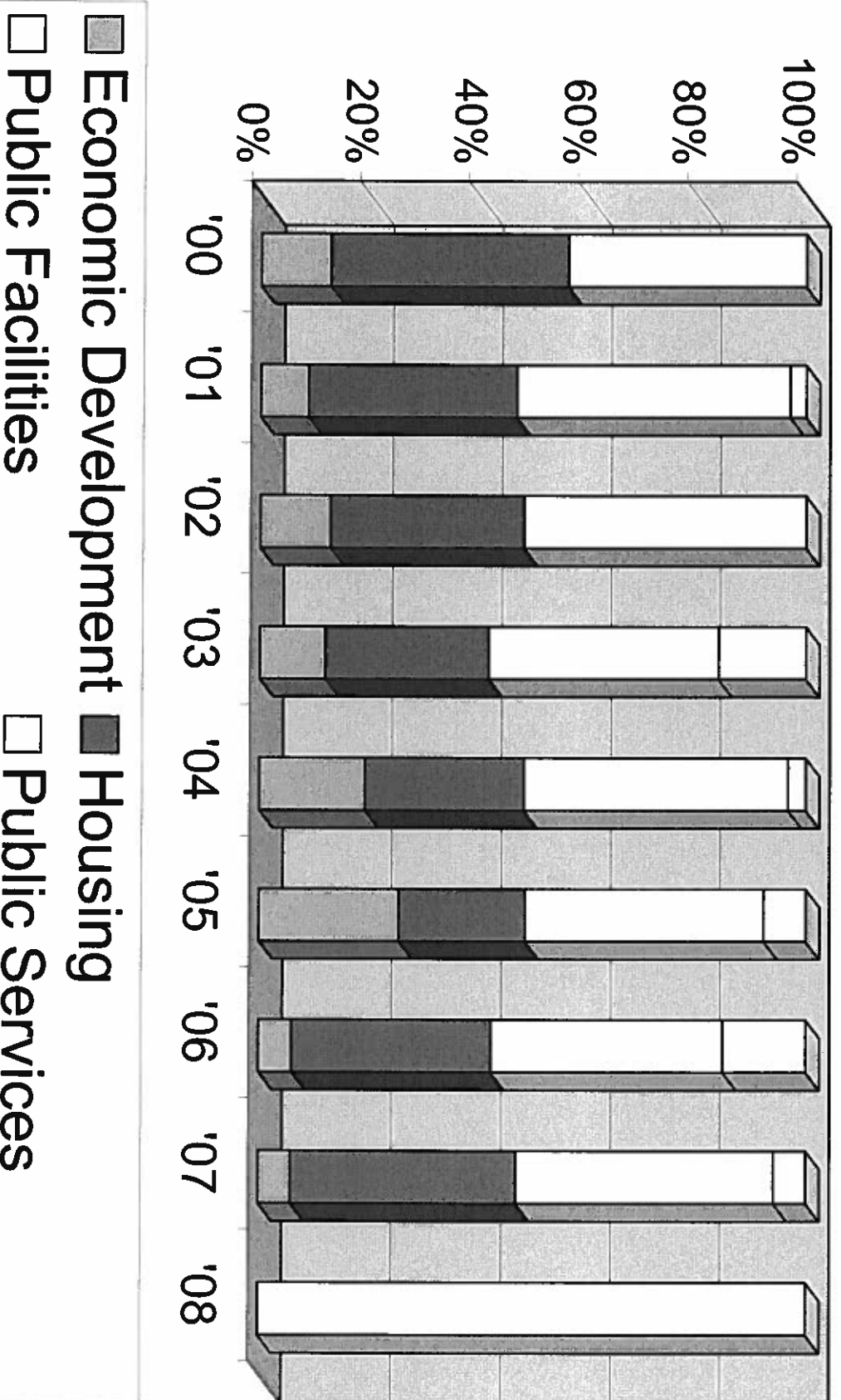
Economic development	37	(13.3%)
Housing	93	(33.5%)
Public Facilities	129	(46.4%)
Public Service	19	(6.8%)

HIGHLIGHTS-CONTINUED

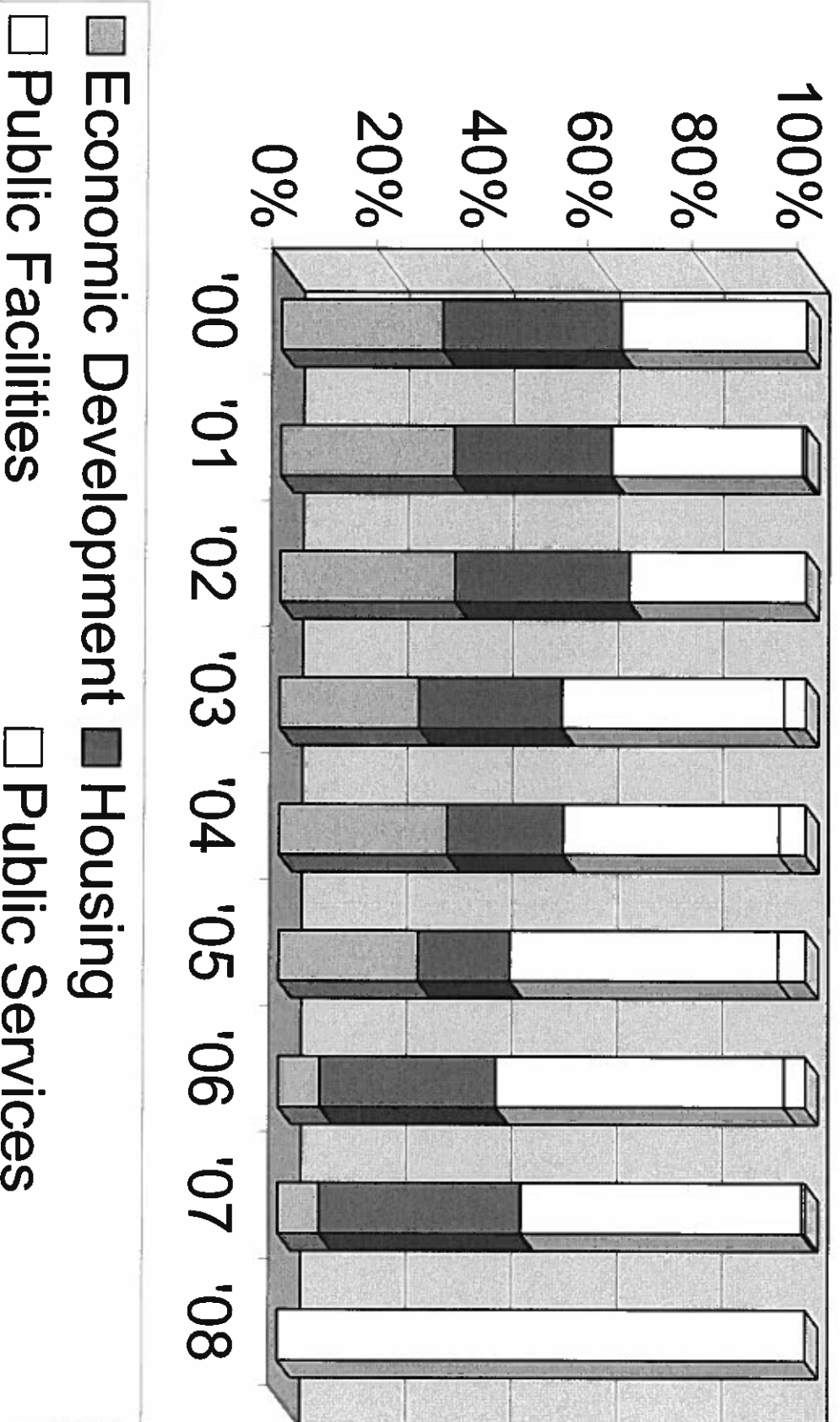
➤ The amount of funding, by project type, has been:

Economic Development	\$22,052,417	(25.83%)
Housing	\$24,335,503	(28.50%)
Public Facilities	\$36,781,997	(43.08%)
Public Service	\$2,211,996	(,2.59%)

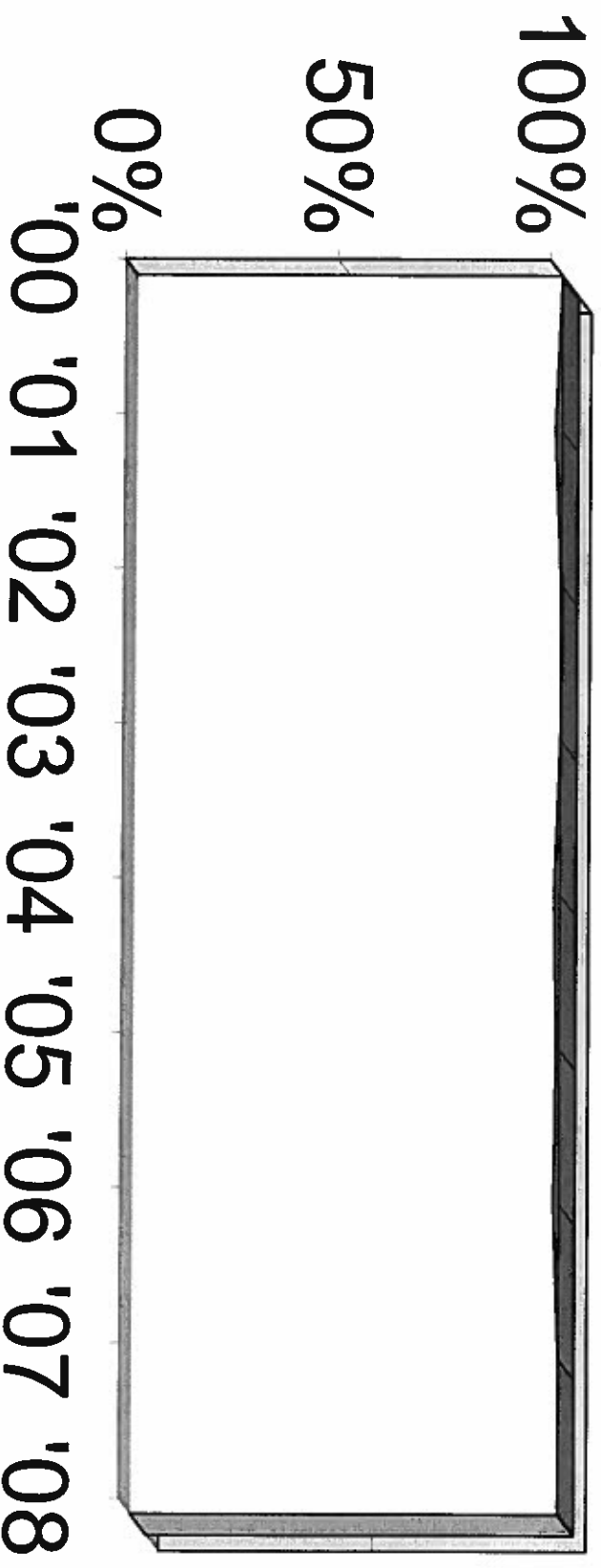
Projects by Type



Funding by Type

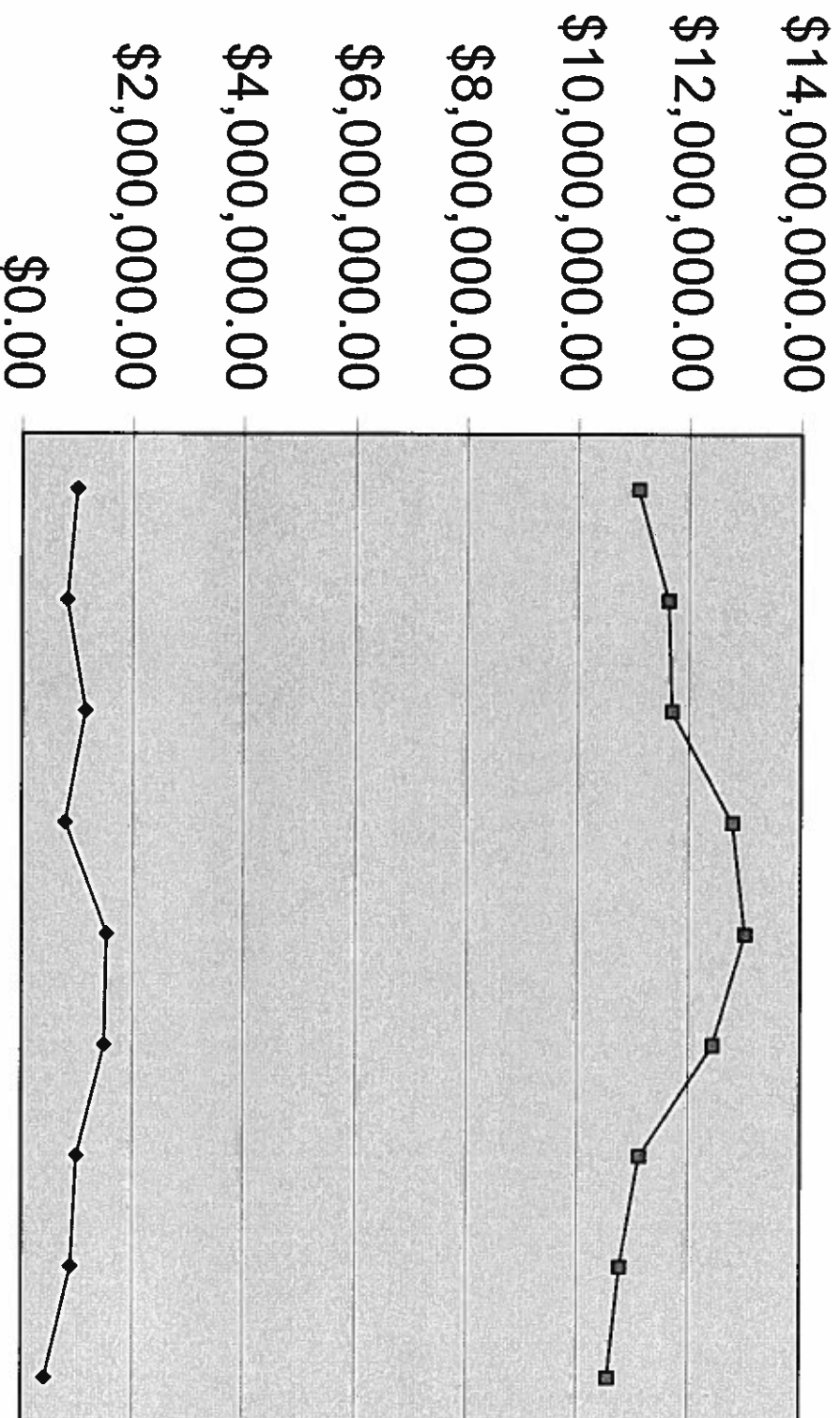


Funding by National Objective



☐ Low/Mod Benefit ☒ Slum/Blight

Administration



◆ Administration ■ Total Grant Amount

Performance and Evaluation Report
for Federal Fiscal Year 2000
March 31, 2009

PART I

STATE: Colorado
GRANT NUMBER: B-00-DC-08-001
REPORT ON: Federal Fiscal Year (FFY) 2000 Funds
DATA AS OF: March 31, 2009

1. FINANCIAL STATUS:

A. GRANT AMOUNT:		\$11,081,000.00	Total
		11,081,000.00	Initial Amount
		0.00	Amendment
		0.00	Reallocation
		0.00	Program Income
TOTAL GRANT AMOUNT:		\$11,081,000.00	Total
		10,648,570.00	Available for Local Projects and Administration
		321,620.00	Available for State Administration
		110,810.00	Available for Technical Assistance
B. AMOUNT OBLIGATED BY STATE TO GRANTEEES FOR LOCAL PROJECTS AND ADMINISTRATION:			
		\$10,648,570.00	Total
C. AMOUNT EXPENDED BY STATE:			
		\$11,081,000.00	Total
		\$10,648,570.00	Local Projects and Administrations
		\$321,620.00	State Administration
		\$110,810.00	Technical Assistance
D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:			
		\$988,016.79	Total – 8.91% of Grant Amount
		\$666,396.79	Local
		\$321,620.00	State

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: FFY 2000-2001-2002

B. AMOUNTS OBLIGATED TO GRANTEEES FOR:		2000	2001	2002
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)				
Total – 99.5% of Amount Obligated:	\$32,905,189.20	\$10,648,570.00	\$11,017,319.20	\$11,239,300.00
Local Projects:	30,952,591.30	9,982,173.21	10,538,629.09	10,431,789.00
Administration:	1,952,597.90	666,396.79	478,690.11	807,511.00
PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):				
Total – .5% of Amount Obligated:	\$158,930.80	\$0.00	\$158,930.80	\$0.00
Local Projects:	158,930.80	0.00	158,930.80	0.00
Administration:	0.00	0.00	0.00	0.00
MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00
ACQUISITION/REHABILITATION NON-COUNTABLE (NC):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00

PART II - 2000

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2000 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program." The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. The state's objectives are also being enhanced through funding made available to the state from the Rural Economic and Community Development Program and Environmental Protection Agency in the form of jointly funded projects. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2000, 2001 and 2002 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2009, a total of 36 projects have been awarded with 2000 funds: 5 economic development, 14 housing and 17 public facilities.
- ❖ The State's primary objective is being achieved. As shown in Part I, \$10,648,570 (100%) of 2000 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2000, 2001, and 2002, 95.5% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the 36 projects for which funds have been obligated have been completed, it is estimated that 10,277 (63.8%) of 16,084 project beneficiaries will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the state's CDBG program.
- ❖ No changes have been made to the program objectives.

[illegible]

Performance and Evaluation Report

for Federal Fiscal Year 2001

March 31, 2009

PART I

STATE: Colorado

GRANT NUMBER: B-01-DC-08-001

REPORT ON: Federal Fiscal Year (FFY) 2001 Funds

DATA AS OF: March 31, 2009

1. FINANCIAL STATUS:

A. GRANT AMOUNT:		\$11,625,000.00	Total
		11,625,000.00	Initial Amount
		0.00	Amendment
		0.00	Reallocation
		0.00	Program Income
TOTAL GRANT AMOUNT:		\$11,625,000.00	Total
		11,176,250.00	Available for Local Projects and Administration
		332,500.00	Available for State Administration
		116,250.00	Available for Technical Assistance
B. AMOUNT OBLIGATED BY STATE TO GRANTEES FOR LOCAL PROJECTS AND ADMINISTRATION:		\$11,176,250.00	Total
C. AMOUNT EXPENDED BY STATE:		\$11,625,000.00	Total
		\$11,176,250.00	Local Projects and Administration
		\$332,500.00	State Administration
		\$116,250.00	Technical Assistance
D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:		\$811,190.11	Total – 6.98% of Grant Amount
		\$478,690.11	Local
		\$332,500.00	State

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: FFY 2000-2001-2002

B. AMOUNTS OBLIGATED TO GRANTEES FOR:		2000	2001	2002
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)				
Total – 99.5% of Amount Obligated:	\$32,905,189.20	\$10,648,570.00	\$11,017,319.20	\$11,239,300.00
Local Projects:	30,952,591.30	9,982,173.21	10,538,629.09	10,431,789.00
Administration:	1,952,597.90	666,396.79	478,690.11	807,511.00
PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):				
Total – .5% of Amount Obligated:	\$158,930.80	\$0.00	\$158,930.80	\$0.00
Local Projects:	158,930.80	0.00	158,930.80	0.00
Administration:	0.00	0.00	0.00	0.00
MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00
ACQUISITION/REHABILITATION NON-COUNTABLE (NC):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00

PART II - 2001

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2001 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program." The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. The state's objectives are also being enhanced through funding made available to the state from the Rural Economic and Community Development Program and Environmental Protection Agency in the form of jointly funded projects. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2000, 2001 and 2002 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2009, a total of 34 projects have been awarded with 2001 funds: 3 economic development, 13 housing, 17 public facilities and 1 public service.
- ❖ The State's primary objective is being achieved. As shown in Part I, \$11,017,319.20 (98.57%) of \$11,176,250 of 2001 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2000, 2001, and 2002, 95.5% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the projects for which funds have been obligated have been completed, it is estimated that 21,499 (66.5%) of 32,316 project beneficiaries will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the state's CDBG program.
- ❖ No changes have been made to the program objectives.

[illegible]

PROJECTS FUNDED WITH FEDERAL FISCAL YEAR (FFY 2001) SMALL CITIES CDBG FUNDS as of March 31, 2009												ACCOMPLISHMENTS											
Grantee/Project No.	Sis- lus	Activity	Sis- lus	Amount	Pur- pose	Net Obj	# Units or Loans	PROPOSED Total Per- sons/Jobs	L/M Per- sons/Jobs	% L/M	# Units or Loans	ACTUAL Total Per- sons/Jobs	L/M Per- sons/Jobs	% L/M	% W	% B	% H	% A/P	% A/V	% H	% F/H		
EVANS, CITY OF 02-001	C	Drainage imp. (4c)		200000.00	PF	L/M		86	63	73		75	55	75									
Construction of storm drainage improvements																							
				\$200,000.00																			
FOUNTAIN, CITY 01-010		Drainage imp. (4c)		250000.00	PF	L/M		2701	1427	53													
Drainage improvements to low-income residential neighborhood																							
				\$250,000.00																			
FOWLER, TOWN 01-042	C	Housing rehab (9a) Administration (13)		247495.00 48000.00	H LM	L/M	19	38	38	100	38	176	174	99	77					6	20		
Housing Rehab to SFOD homes in 3 county region																							
				\$285,495.00																			
FREMONT COUNTY 02-045		Housing rehab (9a) Replacement (15b)		7127.46 32300.00	H LM	L/M																	
Housing rehab and replacement of SFOD homes																							
(See Also FFY 2002)																							
FREMONT COUNTY 04-010		Public Facility (3)		\$103,571.46	PF	L/M																	
LAACOG Human Services and Workforce Center				72741.86																			
(See Also FFY 98, 00, 01, 03, 04, 05)																							
GRAND COUNTY 00-041	C	New const. (15c) Administration (13)		\$72,741.86 5000.00	H LM	L/M	64	120	120	100	64	66	66	100	62	1	2	1			20		
Construction of multi-family housing units																							
(See Also FFY 2000)																							
GRAND LAKE, TOWN OF 01-017	C	Water imp. (4a)		\$23,999.33 216472.46	PF	L/M		256	153	60		453	271	60	427	3	12	4		7			
Water system improvements in town																							
(See Also FFY 94, 95, 97)																							
KIOWA, TOWN OF 02-009		Sewer imp. (4b)		\$216,472.46 300000.00	PF	L/M																	
Improvements to the wastewater treatment facility																							
(See Also FFY 03)																							
LA JUNTA, CITY 00-008	C	Clearance (2)		\$300,000.00 158930.80	PF	S/B		7165	3312	46		7705	4091	53	7345	43	3308	104		86			
Downtown revitalization through demolition of unsafe buildings																							
				\$158,930.80																			

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Performance and Evaluation Report
for Federal Fiscal Year 2002
March 31, 2009

PART I

STATE: Colorado

GRANT NUMBER: B-02-DC-08-001

REPORT ON: Federal Fiscal Year (FFY) 2002 Funds

DATA AS OF: March 31, 2009

1. FINANCIAL STATUS:

A. GRANT AMOUNT:		\$11,690,000.00	Total
		11,690,000.00	Initial Amount
		0.00	Amendment
		0.00	Reallocation
		0.00	Program Income
TOTAL GRANT AMOUNT:		\$11,690,000.00	Total
		11,239,300.00	Available for Local Projects and Administration
		333,800.00	Available for State Administration
		116,900.00	Available for Technical Assistance
B. AMOUNT OBLIGATED BY STATE TO GRANTEEES FOR LOCAL PROJECTS AND ADMINISTRATION:			
		\$11,239,300.00	Total
C. AMOUNT EXPENDED BY STATE:			
		\$11,690,000.00	Total
		\$11,239,300.00	Local Projects and Administration
		\$333,800.00	State Administration
		\$116,900.00	Technical Assistance
D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:			
		\$1,141,311.00	Total – 9.8% of Grant Amount
		\$807,511.00	Local
		\$333,800.00	State

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: FFY 2000-2001-2002

B. AMOUNTS OBLIGATED TO GRANTEEES FOR:		2000	2001	2002
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)				
Total – 99.5% of Amount Obligated:	\$32,905,189.20	\$10,648,570.00	\$11,017,319.20	\$11,239,300.00
Local Projects:	30,952,591.30	9,982,173.21	10,538,629.09	10,431,789.00
Administration:	1,952,597.90	666,396.79	478,690.11	807,511.00
PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):				
Total – .5% of Amount Obligated:	\$158,930.80	\$0.00	\$158,930.80	\$0.00
Local Projects:	158,930.80	0.00	158,930.80	0.00
Administration:	0.00	0.00	0.00	0.00
MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00
ACQUISITION/REHABILITATION NON-COUNTABLE (NC):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00

PART II - 2002

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2002 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program." The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. The state's objectives are also being enhanced through funding made available to the state from the Rural Economic and Community Development Program and Environmental Protection Agency in the form of jointly funded projects. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2000, 2001 and 2002 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2009, a total of 33 projects have been awarded with 2002 funds: 4 economic development, 13 housing and 16 public facilities.
- ❖ The State's primary objective is being achieved. As shown in Part I, \$11,239,300 (100%) of 2002 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2000, 2001, and 2002, 99.5% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the projects for which funds have been obligated have been completed, it is estimated that 79,985 (88.5%) of 90,397 project beneficiaries will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the state's CDBG program.
- ❖ No changes have been made to the program objectives.

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PROJECTS FUNDED WITH FEDERAL FISCAL YEAR (FFY 2002) *SMALL CITIES CDBG FUNDS, as of March 31, 2009

[illegible]

[illegible]

[illegible]

PROJECTS FUNDED WITH FEDERAL FISCAL YEAR (FFY) 2002 *SMALL CITIES CDBG FUNDS, as of March 31, 2009													ACCOMPLISHMENTS												
Grantee/Project No.	Project Description	Sta- tus	Activity	Sta- tus	Amount	Pur- pose	Nat'l Obj	# Units or Loans	PROPOSED			ACTUAL			% W	% B	% H	% A/P	% A/I	% A/N	% H	% FHH			
									Total Per sons/Job	LM Per- son/Job	% LM	# Units or Loans	Total Per sons/Job	LM Per- son/Job									% LM		
*ORWAY, TOWN OF 103-016	Replace water lines	C	Water imp. (4A)		\$238,175.81	PF	LM		See 2003																
(See Also FFY 2003)																									
*PROWERS COUNTY 102-048	SFOO rehabilitation on owner occupied homes in Baca, Kiowa, and Prowers counties.	C	Housing rehab (9a) Administration (13)		\$238,175.81 \$468,162.21 130320.00	H	LM	17	21	21	100	51	71	70	99	51	19			1					
(See Also FFY 2000)																									
*PROWERS COUNTY 102-081	RLF to encourage economic diversification and job creation retention in Baca, Bent, Crowley, Kiowa and Prowers counties.	C	Business asst (14b)		\$598,482.21		LM		See 2004																
(See Also FFY 99, '00, '01, '03, '04)																									
*PROWERS COUNTY 104-055	SFOO housing rehab for a 3 county area		Housing rehab (9a)		\$1,107,490.81 \$110,947.27																				
(See Also FFY '00, '03, '04)																									
RIO GRANDE COUNTY 102-012	Child care facility	C	Public facility (3)		\$212,651.60	PF	LM		See 2003																
(See Also FFY 2001, '03)																									
RIO GRANDE COUNTY 102-080	RLF to encourage economic diversity/ job creation, retention		Business asst (14b) Administration (13)		\$332,812.90 80000.00	ED	LM		See 2004																
(See Also FFY 2001, '04, '05)																									
ROCKY FORD, CITY OF 102-018	Handicapped access to 11 critical areas of the city downtown area	C	ArchBarRen (11)		\$412,812.90	PF	LM		4286	2683	63	4286	2683	63	1200		3086			294					
SAN JUAN COUNTY 103-051	SFOO rehabilitation program and replacement.	C	Housing rehab (9a) Administration (13)		\$36,600.00 \$281,055.75 24800.00	H	LM	8	16	16	100	16	37	37	100	37									
(See FFY 2001)																									
SANFORD, TOWN OF 103-015	Roof replacement on Community Center	C	Public Facility (3) Administration (13)		\$285,656.75 \$138,206.23 9898.50	PF	LM		817	504	62.6	817	461	57	414	1	396		6						
SILVER CLIFF, TOWN OF 102-015	Construct Child care facility	C	Public Facility (3)		\$148,104.73 \$199,578.10	PF	LM		132	67	51	26	18	69	25	1			2		9				

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Performance and Evaluation Report
for Federal Fiscal Year 2003
March 31, 2009

PART I

STATE: Colorado

GRANT NUMBER: B-03-DC-08-001

REPORT ON: Federal Fiscal Year (FFY) 2003 Funds

DATA AS OF: March 31, 2008

1. FINANCIAL STATUS:

A. GRANT AMOUNT:	\$12,782,000.00	Total
	12,782,000.00	Initial Amount
	0.00	Amendment
	0.00	Reallocation
	0.00	Program Income
 TOTAL GRANT AMOUNT:	 \$12,782,000.00	 Total
	12,298,540.00	Available for Local Projects and Administration
	355,640.00	Available for State Administration
	127,820.00	Available for Technical Assistance
 B. AMOUNT OBLIGATED BY STATE TO GRANTEES FOR LOCAL PROJECTS AND ADMINISTRATION:	 \$11,949,854.56	 Total
 C. AMOUNT EXPENDED BY STATE:	 \$12,049,110.27	 Total
	\$11,565,650.27	Local Projects and Administration
	\$355,640.00	State Administration
	\$127,820.00	Technical Assistance
 D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:	 \$775,448.11	 Total – 6.1% of Grant Amount
	\$419,808.11	Local
	\$355,640.00	State

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: FFY 2003-2004-2005

B. AMOUNTS OBLIGATED TO GRANTEES FOR:	2003	2004	2005
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)			
Total –99.35% of Amount Obligated:	\$35,216,168.30	\$11,949,854.56	\$11,994,764.91
Local Projects:	32,642,839.46	11,530,046.45	10,825,157.22
Administration:	2,573,328.84	419,808.11	1,169,607.69
PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):			
Total – .65% of Amount Obligated:	\$229,254.00	\$0.00	\$154,754.00
Local Projects:	154,754.00	0.00	154,754.00
Administration:	74,500.00	0.00	0.00
MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):			
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00
ACQUISITION/REHABILITATION NON-COUNTABLE (NC):			
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00

PART II - 2003

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2003 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program." The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. The state's objectives are also being enhanced through funding made available to the state from the Rural Economic and Community Development Program and Environmental Protection Agency in the form of jointly funded projects. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2003, 2004 and 2005 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2009, a total of 50 projects have been awarded with 2003 funds: 6 economic development, 15 housing, 21 public facilities and 8 public service.
- ❖ The State's primary objective is being achieved. As shown in Part I, \$11,949,854.56 (100%) of 2003 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2003, 2004, and 2005, 99.35% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the projects for which funds have been obligated have been completed, it is estimated that 34,525 (79.6%) of 43,378 project beneficiaries will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the state's CDBG program.
- ❖ No changes have been made to the program objectives.

PROJECTS FUNDED WITH FEDERAL FISCAL YEAR (FFY 2003) "SMALL CITIES CDBG FUNDS, as of March 31, 2009										ACCOMPLISHMENTS											
Grantee/Project No.	Sta- lus	Activity	Sta- lus	Amount	Pur- pose	Nat Obj	# Units or Loans	PROPOSED Total Per- sons/Jobs	LM Per- sons/Jobs	% LM	# Units or Loans	ACTUAL Total Per- sons/Jobs	LM Per- sons/Jobs	% LM	% W	% B	% H	% API	% AI/AN	% HAN	% FHH
ALAMOSA COUNTY 104-040 Support homeless services provided by La Puente Home		Public Service (07)	H	\$39,833.00	LM			Not avail													
ALAMOSA COUNTY 103-045 Construction for farm worker housing		Construction (08a)	H	\$900,000.00	LM			39	20	51											
ALAMOSA COUNTY 104-051 Expansion and rehabilitation of homeless shelter (See Also FFY 04)		Public Facility (03)	PF	\$900,000.00	LM		See 2004														
ALAMOSA COUNTY 105-040 Provide support to homeless service programs in the county		Public Service (7)	H	\$89,800.00	LM		not avail														
ALAMOSA COUNTY 108-085 Housing Needs Assessment (See Also FFY 06)		Planning (12)	H	\$34,324.80	LM																
BENT COUNTY 103-050 Renovation of Sunshine Manor Apartments - Las Animas (See Also FFY 02, 03)	C	Housing rehab (08a)	H	\$37,259.40	LM			28	28	100		28	28	100			15	12		1	
BENT COUNTY 105-050 Refinancing of debt for apartment building serving between 50-60% AMI		Housing rehab (08a)	H	\$75,000.00	LM		25	62	62	100											
BOULDER COUNTY 103-005 Acquisition of building to be used by non-profits providing human services	C	Acquisition (1)	PF	\$250,000.00	LM			4,950	4,850	98		4,950	4,900	99			1282	49	3465	149	25
BOULDER COUNTY 104-048 SFOD rehabilitation		Housing rehab (08a)	H	\$244,724.06	LM			17	17	100											
BOULDER COUNTY 105-058 Reduction of loan payment for homeless shelter (See Also FFY 04)		Public Facility (3)	PF	\$103,090.00	LM		See 2004														
CLEAR CREEK COUNTY 103-012 Renovation and remodel of building for Human Services agencies	C	Administration (13)	PF	\$8,395.00	LM			1,661	1,661	100		6,781	6,146	91			5753	3	1017	2	6
CONELONG COUNTY 04-017 Acquisition of building being used as a clinic (See Also FFY 02, 04)	C	Acquisition (1)	PF	\$65,810.79	LM		See 2004														
CORTI, CITY OF 102-006 Construction of Community Learning Center - Adult Learning Center (See Also FFY 2002)	C	Public Facility (3)	PF	\$24,000.00	LM			350	350	100		350	350	100			270	1	5	70	

PROJECTS FUNDED WITH FEDERAL FISCAL YEAR (FFY 2003) SMALL CITIES COBEG FUNDS, as of March 31, 2009													ACCOMPLISHMENTS												
Grantee/Project No.	Project Description	Sta-	Activity	Sta-	Amount	Pur-	Natl	# Units	PROPOSED	ACTUAL															
		lus		lus		pose	Obj	or Loans	Total Per-	LM Per-	%	# Units	Total Per-	LM Per-	%	%	%	%	%	%	%	%			
									sons/Joos	sons/Joos	LM	or Loans	sons/Joos	sons/Joos	LM	W	B	H	API	AVAN	HAN	FIH			
DUNEY SPRINGS, TOWN OF 03-004	Renovate water system	C	Water imp. (4A)		\$360,000.00		PF	LM	381	259	68		389	264	68	291	2	86		10					
					\$390,000.00																				
TORWAY, TOWN OF 03-016	Replace water lines	C	Water imp. (4A)		\$61,824.19		PF	LM	381	259	68		1,248	804	64	812	5	380	10	31					
OTERO, COUNTY 02-086	Jobs thru loans to businesses		Business asst (14b) Administration (13)		\$363,368.00 \$16,632.00		ED	LM	19	10	51														
OTERO, COUNTY 04-045	Support homeless services provided by Arkansas Valley Resource Center & Housing Authority		Public Services (07)		\$380,000.00		H	LM	Not available		100														
					\$32,788.00																				
OTERO COUNTY 08-080	Infrastructure improvements job creation		Business asst (14b) Administration (13)		\$16,783.84 \$16,119.00		ED	LM	15	15	100														
DURAY, CITY OF 02-085	Jobs thru loans to businesses		Business asst (14b) Administration (13)		\$31,602.84 \$366,105.28 \$10,000.00		ED	LM	19	10	51														
PARK, COUNTY 03-033	Acquisition crisis center	C	Acquisition (07)		\$160,000.00		H	LM	Not available																
					\$376,105.28																				
PROMERS COUNTY 04-055	Housing rehab for a 3 county area		Housing rehab (6a) Administration (13)		\$223,314.00 \$97,761.00		H	LM	See 2004																
					\$160,000.00																				
PROMERS COUNTY 02-081	Jobs thru loans to businesses in Barca, Bent, Crowley, Kiowa and Powers counties	C	Business asst (14b)		\$367,231.03		ED	LM	See 2004																
PROMERS COUNTY 08-080	RL F - jobs through loans to businesses in Barca, Bent, Crowley, Kiowa & Promers (See Also 04 & 05)		Business asst (14b)		\$367,231.03		ED	LM	See 2005																
PUEBLO COUNTY 04-043	Support to emergency shelter programs and emergency services		Public Services (7) Administration (13)		\$85,144.00 \$3,000.00		H	LM	not available		100														
					\$3,420.00																				
PUEBLO COUNTY 04-083	Jobs thru loans to businesses (RL F)		Business asst (14b)		\$88,144.00 \$33,843.00		ED	LM	See 2004																
					\$33,843.00																				

PROJECTS FUNDED WITH FEDERAL FISCAL YEAR (FFY 2003) SMALL CITIES COBG FUNDS, as of March 31, 2009													ACCOMPLISHMENTS												
Grant/Project No.	Project Description	Sta-	Activity	Sta-	Amount	Pur-	Nat'l	# Units	Total Per-	LM Per-	% LM	# Units	Total Per-	LM Per-	% LM	W	B	H	A/P	A/V	H/V	F/H			
																							Amount	pose	Obj
RIO GRANDE COUNTY 02-012	Child care facility	C	Public facility (3)		\$31,680.00	PF	LM		81	41	51		89	52	58	72	1	11	1	1	6	4			
(See Also FFY 2001, '02)																									
ROCKY FORD, CITY OF 07-015	Wastewater Treatment Facility	NR	Sewer imp. (4b)		\$417,222.61	PF	LM		See 2008																
(See Also FFY '08)																									
SAGUACHE COUNTY 06-010	Acquisition of building for county nursing services	NR	Public facility (3)		\$166,400.00	PF	LM		See 2006																
(See Also FFY '06)																									
STERLING, CITY OF 04-046	Emergency Shelter funds for operations and essential services for homeless individuals		Public Services (07)		\$31,279.00	H	LM		Not available																
(See Also FFY '06)																									
SUGAR CITY, TOWN OF 03-017	Upgrade water system	C	Water imp. (4a)		\$317,666.00	PF	LM		285	195	68		279	191	68	224		39	6	10					
(See Also FFY '06)																									
SUMMIT COUNTY 05-044	RLF for housing rehab program		Housing rehab (9a)		\$175,676.20	H	LM		28	25	100														
(See Also FFY '04)																									
SUMMIT COUNTY 05-045	Downpayment assistance program		Downpayment asst. (17)		\$300,000.00	H	LM		36	38	100														
(See Also FFY '04)																									
TRINIDAD, TOWN OF 04-013	Child care facility	M	Public facility (3)		\$300,000.00	PF	LM		118	74	63														
(See Also FFY '04)																									
WALSBURG, CITY OF 03-002	Upgrade wastewater treatment plant adding oxidation ditch		Sewer imp. (4b)		\$280,000.00	PF	LM		3,372	2,312	69														
(See Also FFY '04)																									
WALSBURG, CITY OF 03-007	Spanish Peaks Library Addition		Public Facility (3)		\$210,000.00	PF	LM		See 2005																
(See Also FFY '01 and '05)																									
WELLS COUNTY 03-182	Infrastructure improvements to support a business		Business asst. (14b)		\$210,000.00	ED	LM		35	18	51														
(See Also FFY '01 and '05)																									
YUMA COUNTY 00-382	Jobs thru loans to businesses		Business asst. (14b)	M	\$493,681.90	ED	LM		38	19	51														
(See Also FFY '01 and '05)																									
(See Also FFY '01 and '05)																									

Performance and Evaluation Report
for Federal Fiscal Year 2004
March 31, 2009

PART I

STATE: Colorado

GRANT NUMBER: B-04-DC-08-001

REPORT ON: Federal Fiscal Year (FFY) 2004 Funds

DATA AS OF: March 31, 2009

1. FINANCIAL STATUS:

A. GRANT AMOUNT:		\$13,008,803.00	Total
		13,008,803.00	Initial Amount
		0.00	Amendment
		0.00	Reallocation
		0.00	Program Income
TOTAL GRANT AMOUNT:		\$13,008,803.00	Total
		12,518,536.00	Available for Local Projects and Administration
		360,176.00	Available for State Administration
		130,088.00	Available for Technical Assistance
B. AMOUNT OBLIGATED BY STATE TO GRANTEEES FOR LOCAL PROJECTS AND ADMINISTRATION:			
		\$12,149,518.91	Total
C. AMOUNT EXPENDED BY STATE:			
		\$12,197,473.58	Total
		\$11,707,209.58	Local Projects and Administration
		\$360,176.00	State Administration
		\$130,088.00	Technical Assistance
D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:			
		\$1,529,783.69	Total – 11.8% of Grant Amount
		\$1,169,607.69	Local
		\$360,176.00	State

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: FFY 2003-2004-2005

B. AMOUNTS OBLIGATED TO GRANTEEES FOR:		2003	2004	2005
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)				
Total –99.35% of Amount Obligated:	\$35,216,168.30	\$11,949,854.56	\$11,994,764.91	\$11,271,548.83
Local Projects:	32,642,839.46	11,530,046.45	10,825,157.22	10,287,635.79
Administration:	2,573,328.84	419,808.11	1,169,607.69	983,913.04
PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):				
Total – .65% of Amount Obligated:	\$229,254.00	\$0.00	\$154,754.00	\$74,500.00
Local Projects:	154,754.00	0.00	154,754.00	0.00
Administration:	74,500.00	0.00	0.00	74,500.00
MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00
ACQUISITION/REHABILITATION NON-COUNTABLE (NC):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00

PART II - 2004

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2004 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program." The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. The state's objectives are also being enhanced through funding made available to the state from the Rural Economic and Community Development Program and Environmental Protection Agency in the form of jointly funded projects. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2003, 2004 and 2005 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2009, a total of 31 projects have been awarded with 2004 funds: 6 economic development, 15 public facilities, 9 housing and 1 public service.
- ❖ The State's primary objective is being achieved. As shown in Part I, \$11,994,764.91 (98.7%) of 2004 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2003, 2004, and 2005, 99.35% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the 31 projects for which funds have been obligated have been completed, it is estimated that 24,906 (70.1%) of 35,533 project beneficiaries will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the state's CDBG program.
- ❖ No changes have been made to the program objectives.

[illegible]

[illegible]

[illegible]

[illegible]

[illegible]

Performance and Evaluation Report
for Federal Fiscal Year 2005
March 31, 2009

PART I

STATE: Colorado

GRANT NUMBER: B-05-DC-08-001

REPORT ON: Federal Fiscal Year (FFY) 2005 Funds

DATA AS OF: March 31, 2009

1. FINANCIAL STATUS:

A. GRANT AMOUNT:		\$12,428,946.00	Total
		12,428,946.00	Initial Amount
		0.00	Amendment
		0.00	Reallocation
		0.00	Program Income
TOTAL GRANT AMOUNT:		\$12,428,946.00	Total
		11,956,079.00	Available for Local Projects and Administration
		348,578.00	Available for State Administration
		124,289.00	Available for Technical Assistance
B. AMOUNT OBLIGATED BY STATE TO GRANTEEES FOR LOCAL PROJECTS AND ADMINISTRATION:		\$11,346,048.83	Total
C. AMOUNT EXPENDED BY STATE:		\$9,161,635.90	Total
		\$8,759,710.42	Local Projects and Administration
		\$348,578.00	State Administration
		\$53,347.48	Technical Assistance
D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:		\$1,168,828.00	Total – 9.4% of Grant Amount
		\$820,250.00	Local
		\$348,578.00	State

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: FFY 2003-2004-2005

B. AMOUNTS OBLIGATED TO GRANTEEES FOR:		2003	2004	2005
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)				
Total –99.35% of Amount Obligated:	\$35,216,168.30	\$11,949,854.56	\$11,994,764.91	\$11,271,548.83
Local Projects:	32,642,839.46	11,530,046.45	10,825,157.22	10,287,635.79
Administration:	2,573,328.84	419,808.11	1,169,607.69	983,913.04
PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):				
Total – .65% of Amount Obligated:	\$229,254.00	\$0.00	\$154,754.00	\$74,500.00
Local Projects:	154,754.00	0.00	154,754.00	0.00
Administration:	74,500.00	0.00	0.00	74,500.00
MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00
ACQUISITION/REHABILITATION NON-COUNTABLE (NC):				
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00	0.00

PART II - 2005

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2005 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program." The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. The state's objectives are also being enhanced through funding made available to the state from the Rural Economic and Community Development Program and Environmental Protection Agency in the form of jointly funded projects. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2003, 2004 and 2005 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2009, a total of 39 projects have been awarded with 2005 funds: 10 economic development, 17 public facilities and 9 housing projects and 3 public services.
- ❖ The State's primary objective is being achieved. As shown in Part I, **\$11,271,548.83** (99.3%) of 2005 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2003, 2004, and 2005, 99.35% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the projects for which funds have been obligated have been completed, it is estimated that 30,730 of 39,999 (76.8%) of project beneficiaries will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the state's CDBG program.
- ❖ No changes have been made to the program objectives.

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Performance and Evaluation Report
for Federal Fiscal Year 2006
March 31, 2009

PART I

STATE: Colorado
 GRANT NUMBER: B-06-DC-08-001
 REPORT ON: Federal Fiscal Year (FFY) 2006 Funds
 DATA AS OF: March 31, 2008

1. FINANCIAL STATUS:

A. GRANT AMOUNT:	\$11,120,921.00	Total
	11,120,921.00	Initial Amount
	0.00	Amendment
	0.00	Reallocation
	0.00	Program Income
 TOTAL GRANT AMOUNT:	 \$11,120,921.00	 Total
	10,687,294.00	Available for Local Projects and Administration
	322,418.00	Available for State Administration
	111,209.00	Available for Technical Assistance
 B. AMOUNT OBLIGATED BY STATE TO GRANTEEES FOR LOCAL PROJECTS AND ADMINISTRATION:	 \$9,874,841.45	 Total
 C. AMOUNT EXPENDED BY STATE:	 \$7,454,867.02	 Total
	\$7,238,343.87	Local Projects and Administration
	\$216,523.15	State Administration
	\$0.00	Technical Assistance
 D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:	 \$988,355.00	 Total – 8.9% of Grant Amount
	\$665,937.00	Local
	\$322,418.00	State

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: FFY 2006-2007-2008

B. AMOUNTS OBLIGATED TO GRANTEEES FOR:	2006	2007	2008
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)			
Total – 99.3% of Amount Obligated:	\$16,752,381.29	\$9,754,841.45	\$6,475,242.08
Local Projects:	15,623,432.29	9,088,904.45	6,012,230.08
Administration:	1,128,949.00	665,937.00	463,012.00
			0.00
PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):			
Total – .7% of Amount Obligated:	\$120,000.00	\$120,000.00	\$0.00
Local Projects:	120,000.00	120,000.00	0.00
Administration:	0.00	0.00	0.00
MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):			
Total – 0% of Amount Obligated:	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00
ACQUISITION/REHABILITATION NON-COUNTABLE (NC):			
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00

PART II - 2006

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2006 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program."

The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2006, 2007 and 2008 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2009, a total of 33 projects have been awarded with 2006 funds, 12 housing, 14 public facilities, 2 economic development and 5 public service.
- ❖ The State's primary objective is being achieved. As shown in Part I, \$9,754,8473.45, (98.7%) of 2006 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2006, 2007, and 2008, to date, 99.3% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the 33 projects for which funds have been obligated have been completed, it is estimated that over 85.9% of project beneficiaries, or 35,015 of 40,766 persons will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the state's CDBG program.
- ❖ No changes have been made to the program objectives.

PROJECTS FUNDED WITH FEDERAL FISCAL YEAR (FFY 2006) "SMALL CITIES CDBG FUNDS, as of March 31, 2009

ACCOMPLISHMENTS

[illegible]

PROJECTS FUNDED WITH FEDERAL FISCAL YEAR (FFY 2006) "SMALL CITIES CDBG FUNDS, as of March 31, 2009

ACCOMPLISHMENTS

[illegible]

PROJECTS FUNDED WITH FEDERAL FISCAL YEAR (FFY 2006) "SMALL CITIES CDBG FUNDS, as of March 31, 2009

ACCOMPLISHMENTS

[illegible]

PROJECTS FUNDED WITH FEDERAL FISCAL YEAR (FFY 2006) "SMALL CITIES CDBG FUNDS, as of March 31, 2009

ACCOMPLISHMENTS

[illegible]

Performance and Evaluation Report
for Federal Fiscal Year 2007
March 31, 2009

PART I

STATE: Colorado

GRANT NUMBER: B-07-DC-08-001

REPORT ON: Federal Fiscal Year (FFY) 2007 Funds

DATA AS OF: March 31, 2009

1. FINANCIAL STATUS:

A. GRANT AMOUNT:	\$10,768,763.00	Total
	10,768,763.00	Initial Amount
	0.00	Amendment
	0.00	Reallocation
	0.00	Program Income
TOTAL GRANT AMOUNT:	\$10,768,763.00	Total
	10,345,701.00	Available for Local Projects and Administration
	423,062.00	Available for State Administration
B. AMOUNT OBLIGATED BY STATE TO GRANTEEES FOR LOCAL PROJECTS AND ADMINISTRATION:	\$6,475,232.08	Total
C. AMOUNT EXPENDED BY STATE:	\$3,024,250.17	Total
	\$3,014,517.80	Local Projects and Administration
	\$9,732.27	State Administration
D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:	\$886,074.00	Total – 3.9% of Grant Amount
	\$463,012.00	Local
	\$423,062.00	State

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: FFY 2006-2007-2008

B. AMOUNTS OBLIGATED TO GRANTEEES FOR:	2006	2007	2008
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)			
Total – 99.3% of Amount Obligated:	\$16,752,381.29	\$9,754,841.45	\$6,475,242.08
Local Projects:	15,623,432.29	9,088,904.45	6,012,230.08
Administration:	1,128,949.00	665,937.00	463,012.00
PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):			
Total – .7% of Amount Obligated:	\$120,000.00	\$120,000.00	\$0.00
Local Projects:	120,000.00	120,000.00	0.00
Administration:	0.00	0.00	0.00
MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):			
Total – 0% of Amount Obligated:	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00
ACQUISITION/REHABILITATION NON-COUNTABLE (NC):			
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00

PART II - 2007

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2007 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program."

The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2006, 2007 and 2008 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2009, a total of 17 projects have been awarded with 2007 funds, 8 public facilities, 7 housing, 1 economic development and 1 public service.
- ❖ The State's primary objective is being achieved. As shown in Part I, \$6,475,242.08 (100%) of 2007 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2006, 2007, and 2008, to date, 99.3% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the projects for which funds have been obligated have been completed, it is estimated that over 75% of project beneficiaries, or 35,015 of 40,766 persons will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the state's CDBG program.
- ❖ No changes have been made to the program objectives.

ACCOMPLISHMENTS

[illegible]

PROJECTS FUNDED WITH FEDERAL FISCAL YEAR (FFY 2007) *SMALL CITIES CDBG FUNDS, as of March 31, 2009

ACCOMPLISHMENTS

[illegible]

PROJECTS FUNDED WITH FEDERAL FISCAL YEAR (FFY 2007) *SMALL CITIES CDBG FUNDS, as of March 31, 2009

ACCOMPLISHMENTS

[illegible]

Performance and Evaluation Report
for Federal Fiscal Year 2008
March 31, 2009

PART I

STATE: Colorado

GRANT NUMBER: B-08-DC-08-001

REPORT ON: Federal Fiscal Year (FFY) 2008 Funds

DATA AS OF: March 31, 2009

1. FINANCIAL STATUS:

A. GRANT AMOUNT:	\$10,546,315.00	Total
	10,546,315.00	Initial Amount
	0.00	Amendment
	0.00	Reallocation
	0.00	Program Income
 TOTAL GRANT AMOUNT:	 \$10,546,315.00	 Total
	10,129,926.00	Available for Local Projects and Administration
	416,389.00	Available for State Administration
 B. AMOUNT OBLIGATED BY STATE TO GRANTEES FOR LOCAL PROJECTS AND ADMINISTRATION:	 \$522,297.76	 Total
 C. AMOUNT EXPENDED BY STATE:	 \$221,000.00	 Total
	\$221,000.00	Local Projects and Administration
	\$0.00	State Administration
 D. AMOUNT BUDGETED OR EXPENDED FOR ADMINISTRATION:	 \$416,389.00	 Total – 3.9% of Grant Amount
	\$0.00	Local
	\$416,389.00	State

2. NATIONAL OBJECTIVES:

A. PERIOD SPECIFIED FOR BENEFIT: FFY 2006-2007-2008

B. AMOUNTS OBLIGATED TO GRANTEES FOR:	2006	2007	2008
BENEFIT LOW/MODERATE INCOME PERSONS (L/M)			
Total – 99.3% of Amount Obligated:	\$16,752,381.29	\$9,754,841.45	\$6,475,242.08
Local Projects:	15,623,432.29	9,088,904.45	6,012,230.08
Administration:	1,128,949.00	665,937.00	463,012.00
PREVENT/ELIMINATE SLUMS OR BLIGHT (S/B):			
Total – .7% of Amount Obligated:	\$120,000.00	\$120,000.00	\$0.00
Local Projects:	120,000.00	120,000.00	0.00
Administration:	0.00	0.00	0.00
MEET URGENT COMMUNITY DEVELOPMENT NEEDS (UN):			
Total – 0% of Amount Obligated:	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00
ACQUISITION/REHABILITATION NON-COUNTABLE (NC):			
Total – 0.0% of Amount Obligated:	\$0.00	\$0.00	\$0.00
Local Projects:	0.00	0.00	0.00
Local Administration:	0.00	0.00	0.00

PART II - 2006

Assessment of the relationship of the use of funds to the State's objectives

The State's overall goal for the Federal Fiscal Year 2008 program is "to establish a program which is responsive to local community development needs, strategies and priorities, and which produces a measurable improvement in the communities served by the program."

The State's primary objective, which parallels the statutory national objective, is "the development of viable urban communities, by providing decent housing and a suitable living environment and expanding economic opportunities, **principally for persons of low and moderate income**. Consistent with this primary objective, not less than seventy percent (70%) of federal FY 2006, 2007 and 2008 funds shall be used for the support of activities that benefit persons of low and moderate income."

- ❖ As of March 31, 2009, a total of 4 projects have been awarded with 2008 funds, all public facilities.
- ❖ The State's primary objective is being achieved. As shown in Part I, \$522,297.76 (100%) of 2008 funds obligated to grantees is for project activities that principally benefit low and moderate income persons. For FY 2006, 2007, and 2008, to date, 97.8% of funds have been used for project activities that principally benefit low and moderate income persons.
- ❖ When the projects for which funds have been obligated have been completed, it is estimated that over 94.1% of project beneficiaries, or 679 of 721 persons will be low and moderate income persons.
- ❖ No written or verbal comments concerning the program have been received from citizens.
- ❖ No change is anticipated to the state's CDBG program.
- ❖ No changes have been made to the program objectives.

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	Starting 04/01/2008	Ending 03/31/2009	06/30/2009

Part I Participant Identification

1. Participant Number 089999	2. Participant Name Colorado State Program		
3. Name of Person completing this report Mary Miller		4. Phone Number (Include Area Code) 303-866-2978	
5. Address 1313 Sherman St., Rm. 518	6. City Denver	7. State CO	8. Zip Code 80203

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period \$2,726	2. Amount received during Reporting Period \$ 298,468.29	3. Total amount expended during Reporting Period \$ 4,903.24	4. Amount expended for Tenant-Based Rental Assistance 0.00	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 \$296,291.05
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Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
A. Contracts						
1. Number	20					20
2. Dollar Amount	\$7,738,156.56					\$7,738,156.56
B. Sub-Contracts						
1. Number	4				2	2
2. Dollar Amount	\$833,157				\$681,387	\$151,770
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
C. Contracts						
1. Number	20		20			
2. Dollar Amount	\$7,738,156.56		\$7,738,156.56			
D. Sub-Contracts						
1. Number	4	2	2			
2. Dollar Amounts	\$833,157	\$151,770	\$681,387			

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	9	0	0	0	0	
2. Dollar Amount	\$3,613,759	0	0	0	0	0

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost				
1. Parcels Acquired	3	\$				
2. Businesses Displaced	0	0				
3. Nonprofit Organizations Displaced	0	0				
4. Households Temporarily Relocated, not Displaced						

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number	7					7
6. Households Displaced - Cost	\$58,469.88					\$58,469.88



Housing Opportunities for Persons With AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 12/31/2010)

The HOPWA CAPER report for formula grantees provides annual information on program accomplishments in meeting the program's performance outcome measure: maintain housing stability; improve access to care; and reduce the risk of homelessness for low-income persons and their families living with HIV/AIDS. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning Regulations. The public reporting burden for the collection of information is estimated to average 45 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 68 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

The revisions contained within this edition are designed to accomplish the following: (1) provide for an assessment of unmet need; (2) streamline reporting sources and uses of leveraged resources; (3) differentiate client outcomes for temporary/short-term and permanent facility-based assistance; (4) clarify indicators for short-term efforts and reducing the risk of homelessness; and (5) clarify indicators for Access to Care and Support for this special needs population. In addition, grantees are requested to comply with the Federal Funding Accountability and Transparency Act 2006 (Public Law 109-282) which requires federal grant recipients to provide general information for all entities (including subrecipients) receiving \$25,000+ in federal funds.

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1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Assistance with Supportive Services

PART 5: Worksheet - Determining Housing Stability Outcomes

PART 6: Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)

Continued Use Periods. Grantees that use HOPWA funds for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for ten years for HOPWA-eligible beneficiaries. For the years in which grantees do not receive and expend HOPWA funding for these activities, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 5 in CAPER.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee's State or Local HUD Field Office, and to the HOPWA Program Office: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410.

Definitions: Facility-Based Housing Assistance: All HOPWA housing expenditures which provide support to facilities, including community residences, SRO dwellings, short-term or transitional facilities, project-

based units, master leased units, scattered site units leased by the organization, and other housing facilities approved by HUD.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually; and six or fewer full-time equivalent employees. Local affiliates of national or larger organizations are not considered "grassroots."

Housing Assistance Total: The non-duplicated number of households receiving housing subsidies and residing in units of facilities that were dedicated to persons living with HIV/AIDS and their families that were supported with HOPWA or leveraged funds during this operating year.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

Output: The number of units of housing or households that receive HOPWA housing assistance during the operating year.

Outcome: The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support. The goal that eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care by 2011.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including reasonable costs for security deposits not to exceed two months of rental costs).

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Short-Term Rent, Mortgage and Utility Payments (STRMU): Subsidy or payments subject to the 21-week limited time period to prevent the homelessness of a household (e.g., HOPWA short-term rent, mortgage and utility payments).

Stewardship Units: Units developed, where HOPWA funds were used for acquisition, new construction and rehabilitation, but no longer receive operating subsidies. Report information for the units subject to the three-year use agreement if rehabilitation is non-substantial, and those subject to the ten-year use agreement if rehabilitation is substantial.

Tenant-Based Rental Assistance: (TBRA): An on-going rental housing subsidy for units leased by the client, where the amount is determined based in part on household income and rent costs. Project-based costs are considered facility-based expenditures.

Total by Type of Housing Assistance/Services: The non-duplicated households assisted in units by type of housing assistance dedicated to persons living with HIV/AIDS and their families or services provided that were supported with HOPWA and leveraged funds during the operating year

Housing Opportunities for Persons with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report - Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 12/31/2010)

Part 1: Grantee Executive Summary

As applicable, complete the charts below followed by the submission of a written narrative to questions A through C, and the completion of Chart D. Chart 1 requests general grantee information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their activities. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

1. Grantee Information

HUD Grant Number H07CO07F999 and H08CO08F999		Operating Year for this report <i>From (mm/dd/yy)</i> 04/01/08 <i>To (mm/dd/yy)</i> 03/31/09		
Grantee Name State of Colorado				
Business Address		1313 Sherman St., Room 518		
City, County, State, Zip		Denver	Denver	CO 80203
Employer Identification Number (EIN) or Tax Identification Number (TIN)		84-0644739		DUN & Bradstreet Number (DUNs) if applicable 87-8192483
Congressional District of Business Address		01		
*Congressional District(s) of Primary Service Area(s)				
*Zip Code(s) of Primary Service Area(s)				
*City(ies) and County(ies) of Primary Service Area(s)				
Organization's Website Address www.dola.colorado.gov/cdh		Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If yes, explain in the narrative section how this list is administered.		
Have you prepared any evaluation reports? No <i>If so, please indicate the location on an Internet site (url) or attach copy.</i>				

* Service delivery area information only needed for program activities being directly carried out by the grantee

2. Project Sponsor Information

In Chart 2, provide the following information for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3.

Project Sponsor Agency Name Boulder County AIDS Project		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Ana Hopperstad, Executive Director			
Email Address	ana@bcap.org			
Business Address	2118 14 th Street			
City, County, State, Zip,	Boulder	Boulder	CO	80302
Phone Number (with area codes)	303-444-6121 x 104		Fax Number (with area code) 303-444-0260	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	74-2442032		DUN & Bradstreet Number (DUNs) if applicable 845731454	
Congressional District of Business Location of Sponsor	2 nd Congressional District (Boulder); 4 th Congressional District (Longmont)			
Congressional District(s) of Primary Service Area(s)	Same as above.			
Zip Code(s) of Primary Service Area(s)	80301, 80302, 80303, 80304, 80305, 80306, 80501, 80503, 80505			
City(ies) and County(ies) of Primary Service Area(s)	Boulder, Longmont		Boulder County (HOPWA Service Area) Boulder, Broomfield, Gilpin and Clear Creek (Ryan White Service Area)	
Total HOPWA contract amount for this Organization	\$68,717			
Organization's Website Address www.bcap.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		If yes, explain in the narrative section how this list is administered.		
Please check if yes and a faith-based organization. <input type="checkbox"/>		New applicants are added in order of date of referral/application. Wait list is maintained at the agency.		
Please check if yes and a grassroots organization. <input type="checkbox"/>				

Project Sponsor Agency Name Northern Colorado AIDS Project		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Angela Loftin/ Financial Administrator			
Email Address	angela@ncaids.org			
Business Address	400 Remington St., Ste 100			
City, County, State, Zip,	Fort Collins	Larimer	CO	80524
Phone Number (with area codes)	970-484-4469 ext. 13		Fax Number (with area code) 970-484-4497	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	84-1035151		DUN & Bradstreet Number (DUNs) if applicable 805731454	
Congressional District of Business Location of Sponsor	4th			
Congressional District(s) of Primary Service Area(s)	4th			
Zip Code(s) of Primary Service Area(s)	80524, 80524, 80537, 80751,			
City(ies) and County(ies) of Primary Service Area(s)	Fort Collins, Loveland, Greeley, Evans, Estes Park, Windsor, Ault, Sterling, Fort Morgan, Fort Lupton, Yuma, Berthoud		Larimer, Weld, Sedgwick, Washington, Morgan, Logan, Yuma, Phillips	
Total HOPWA contract amount for this Organization	\$45,275.58			
Organization's Website Address: www.ncaids.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	If yes, explain in the narrative section how this list is administered. The list was populated by sending a letter to all clients not already in subsidized housing. Thirty clients sent in applications and as clients transition off of TBRA new clients are drawn at random from the waiting list.
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Project Sponsor Agency Name Southern Colorado AIDS Project		Parent Company Name, if applicable N/A		
Name and Title of Contact at Project Sponsor Agency	Richard Blair, Executive Director			
Email Address	rblair@s-cap.org			
Business Address	1301 South 8 th St. Suite 200			
City, County, State, Zip,	Colorado Springs	El Paso	CO	80905
Phone Number (with area codes)	719-578-9092		Fax Number (with area code) 719-578-8690	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	84-1054293		DUN & Bradstreet Number (DUNs) if applicable 803367259	
Congressional District of Business Location of Sponsor	Fifth Congressional District			
Congressional District(s) of Primary Service Area(s)	Third, Fourth and Fifth			
Zip Code(s) of Primary Service Area(s)	80901 thru 80951 Colorado Springs 81001 thru 81012 Pueblo			
City(ies) and County(ies) of Primary Service Area(s)	Colorado Springs and Pueblo		El Paso and Pueblo	
Total HOPWA contract amount for this Organization	\$338,214.00			
Organization's Website Address www.s-cap.org		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. HOPWA is administered to clients based on the date of application – first in, first out.		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>				

Project Sponsor Agency Name Western Colorado AIDS Project		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Martha Monroe, Lead Case Manager/Housing Coordinator			
Email Address	martha@westcap.info			
Business Address	805 Main Street			
City, County, State, Zip,	Grand Junction	Mesa	CO	81501
Phone Number (with area codes)	970-243-2437		Fax Number (with area code) 970-243-5791	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	84-1112986		DUN & Bradstreet Number (DUNs) if applicable 792128878	
Congressional District of Business Location of Sponsor	3			
Congressional District(s) of Primary Service Area(s)	3			

Zip Code(s) of Primary Service Area(s)	See below (22 counties)	
City(ies) and County(ies) of Primary Service Area(s)	See below (22 counties)	See below (22 counties)
Total HOPWA contract amount for this Organization	\$51,536.00	
Organization's Website Address www.westcap.info		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered. Case Managers maintain a TBRA wait list based on date of client request and availability. (first come first serve)
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		

Counties: Moffat, Routt, Jackson, Grand, Rio Blanco, Garfield, Eagle, Summit, Mesa, Delta, Montrose, Pitkin, Lake, Gunnison, Ouray, San Miguel, Dolores, San Juan, Montezuma, Hinsdale, La Plata, Archuleta.

Zip code/City/County:

80423 Bond Eagle, 80424 Breckenridge, Summit, 80428 Clark Routt, 80430 Coalmont Jackson, 80435 Dillon Summit, 80446 Granby Grand, 80447 Grand Lake Grand, 80459 Kremmling Grand, 80461 Leadville Lake, 80463 McCoy Eagle, 80467 Oak Creek Routt, 80468 Parshall Grand, 80479 Toponas Routt, 80480 Walden Jackson, 80487 Steamboat Springs Routt, 80498 Silverthorne Summit, 81137 Ignacio LaPlata, 81147 Pagosa Springs Archuleta, 81210 Almont Gunnison, 81220 Cimarron Montrose, 81224 Crested Butte Gunnison, 81230 & 81247 Gunnison Gunnison, 81235 Lake City Hinsdale, 81237 Ohio City Gunnison, 81239 Parlin Gunnison, 81243 Powderhorn Gunnison, 81251 Twin Lakes Lake, 81301 & 81303 Durango La Plata, 81320 Cahone Dolores, 81321 Cortez Montezuma, 81323 Dolores Montezuma, 81324 Dove Creek Dolores, 81325 Egnar San Miguel, 81326 Hesperus La Plata, 81327 Lewis Montezuma, 81328 Mancos Montezuma, 81331 Pleasant View Montezuma, 81335 Yellow Jacket Montezuma, 81401 Montrose Montrose, 81410 Austin Delta, 81411 Bedrock Montrose, 81413 Cedaredge Delta, 81415 Crawford Delta, 81416 Delta Delta, 81418 Eckert Delta, 81419 Hotchkiss Delta, 81422 Naturita Montrose, 81424 Nucla Montrose, 81425 Olathe Montrose, 81428 Paonia Delta, 81431 Redvale Montrose, 81432 Ridgeway Ouray, 81434 Somerset Gunnison, 81435 Telluride San Miguel, 81501 & 81503 & 81504 & 81505 & 81506 Grand Junction Mesa, 81520 Clifton Mesa, 81521 Fruita Mesa, 81522 Gateway Mesa, 81524 Loma Mesa, 81525 Mack Mesa, 81526 Palisade Mesa, 81527 Whitewater Mesa, 81601 Glenwood Springs Garfield, 81610 & 81633 Dinosaur Moffat, 81611 Aspen Pitkin, 81621 Basalt Eagle, 81623 Carbondale Garfield, 81624 Collbran Mesa, 81625 Craig Moffat, 81630 DeBeque Mesa, 81632 Edwards Eagle, 81635 Parachute Garfield, 81637 Gypsum Eagle, 81638 Hamilton Moffat, 81639 Hayden Routt, 81640 Maybell Moffat, 81641 Meeker Rio Blanco, 81642 Meredith Pitkin, 81643 Mesa Mesa, 81647 New Castle Garfield, 81648 Rangely Rio Blanco, 81650 Rifle Garfield, 81652 Silt Garfield, 81653 Slater Moffat, 81654 Snowmass Pitkin, 81657 Vail Eagle

3. Subrecipient Information

In Chart 3, provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assist the grantee or project sponsors to carry out their administrative or service delivery functions. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors or other organizations beside the grantee.) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Subrecipient Name Colorado Health Network dba Colorado AIDS Project		Parent Company (if applicable)		
Name and Title of Contact at Subrecipient	Melanie Hill, Housing Service Manager			
Email Address	melanieh@coloradohealthnetwork.org			
Business Address	P.O. Box 48120			
City, State, Zip, County	Denver	CO	80204	Denver
Phone Number (with area code)	303-837-1401		Fax Number (with area code) 303-861-8900	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	84-0961159		DUN & Bradstreet Number (DUNS) if applicable 14-9553331	
North American Industry Classification System (NAICS) Code	624229			
Congressional District of Location	01			
Congressional District of Primary Service Area	2,3,4,5,6,7			
Zip Code of Primary Service Area(s)	All zip codes in Colorado outside the Denver Metro Area			
City(ies) and County(ies) of Primary Service Area(s)	All cities and counties within Colorado outside the Denver Metro Area			
Total HOPWA Contract Amount	2007 +2008 \$719,740			

A. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Response:

The Colorado Balance of State HOPWA program distributes funding to four regional AIDS projects using Colorado AIDS Project as a subrecipient to provide administration, oversight and technical assistance to the regional sponsor agencies.

The four regional AIDS Projects sponsor Colorado's Housing Opportunities for People with AIDS (HOPWA) Program. The sponsors are:

Northern Colorado AIDS Project: (N-CAP) Contact: Angela Loftin, 970-484-4469 ext. 13

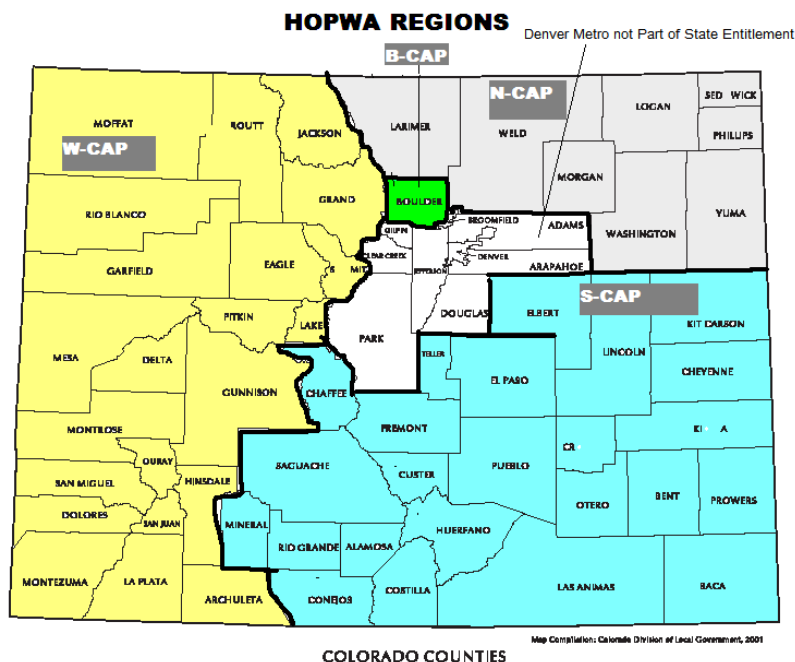
Boulder Colorado AIDS Project: (B-CAP) Contact: Ana Hopperstad, 303-444-6121

Southern Colorado AIDS Project Contact: (S-CAP) Lisa Pickruhn, 719-578-9092

Western Slope Colorado AIDS Project: (W-CAP) Contact: Martha Monroe, 970-243-2437

Colorado AIDS Project is the subrecipient that assists the project sponsors in administering the program. The Colorado AIDS Project (CAP) formed the first community-wide response to the HIV/AIDS crisis in 1983.

Colorado AIDS Project Contact: Melanie Carabello, Housing Services Manager



Profiles of Sponsor Agencies:

Boulder County AIDS Project

Brief Description of the Grant Organization: BCAP

Boulder County AIDS Project (BCAP) is a non-profit AIDS Service Organization (Regional Service Provider) which has served the Boulder community for almost twenty-five years. In conjunction with Boulder County Public Health, BCAP was created to serve those in the Boulder community infected with and affected by HIV/AIDS. Our organization provides evidence-based Medical Case Management services and a wide array of HIV Prevention services. Along with case management, HIV testing, education to the public including schools and businesses, and outreach to the community, BCAP provides a full-service food bank and referrals to a large offering of pro bono professional services including legal referrals, psychotherapy, on-site therapeutic massage, an on-site acupuncture clinic, nutrition consultants, and a buddy program which primarily assists clients with transportation to medical appointments.

Area of Service: BCAP

BCAP's HOPWA program serves Boulder County. We provide case management to one TBRA participant who lives in Broomfield. This tenant receives case management from our agency and subsidy dollars from the Colorado AIDS Project located in Denver.

BCAP Major Achievements and Highlights

Boulder County AIDS Project (BCAP) provided housing services, including a HOPWA-funded rent subsidy, to a total of 14 persons living with HIV/AIDS across a diverse Boulder County community. One tenant transitioned to permanent housing during the past year. All clients enrolled in our HIV/AIDS Care Services division were referred, screened, and counseled regarding housing stability. All clients enrolled in the tenant-based rental assistance program received an initial assessment, worked with a medical case manager to co-create an individualized wellness plan which included a permanent housing plan. Clients were immediately referred to HIV specialty care and provided referrals and support to address any obstacles to medical care, HIV treatment, access to lab tests, insurance, mental health, substance abuse or transportation issue. A Medical Case Manager specializing in housing services met with each client (HIV+ head of household) once a month in their homes to discuss short- and long-term goals for housing and HIV-related medical issues. In addition, Boulder County AIDS Project provides services and support to those in the household who are affected but not infected with HIV through risk reduction counseling, psychosocial support, food and nutrition support, and family-related community service referrals.

Program Contacts: The primary contact for Housing-related services is Ana Hopperstad, Executive Director (ana@bcap.org; 303-444-6121). The secondary contact for Housing-related services is Ann Marie Arvoy, Care Services Director (annmarie@bcap.org; 303-444-6121)

Northern Colorado AIDS Project (NCAP)

For over 20 years, NCAP has been the community-based organization that has led the response to HIV and AIDS in Northern Colorado. NCAP is the only AIDS service organization in 16,000 square miles that provides comprehensive medical case management and prevention education/outreach services, including confidential HIV testing. We have developed an extensive network of collaborative and cooperative service providers throughout our vast geographic rural/frontier service area to ensure HIV/AIDS care and prevention remain in our local communities. In 2008-2009, NCAP populated a waiting list for rental assistance, and one client transitioned into long-term housing with a housing authority.

Southern Colorado AIDS Project (S-CAP): S-CAP provides housing assistance to clients throughout its entire twenty-five county service area. S-CAP assesses all clients for housing needs based on level of income, expenses (including medical and medications), special needs, and overall health status. Clients applying for HOPWA assistance are first referred to public housing to determine eligibility for other federally funded housing programs.

The HOPWA program contacts at S-CAP:

- S-CAP HOPWA Coordinator – Lisa Pickruhn
- S-CAP HOPWA Financial Administrator – Maggie LaDucca
- S-CAP HOPWA supervisors – Lupe Joyner and Ralph Fritz

Housing activities provided for the 2007 and 2008 grant years included Long-term Rental Assistance – TBRA; Supportive services through Ryan White funds; and homelessness prevention via one time rental assistance and deposit assistance

Western Colorado AIDS Project (WCAP) is a community-based referral, advocacy, and service provider offering non-judgmental, confidential, and compassionate assistance to HIV-affected persons, families, and loved ones. WestCAP also promotes prevention and education about HIV and AIDS to the people of Western Colorado. WCAP serves 22 counties, an area approximately 41,000 square miles of rural, frontier rural, resort and small metro area communities. WestCAP's HOPWA program is managed by Martha Monroe and provides STRMU assistance as well as ongoing transitional housing.

B. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

The Colorado Balance of State HOPWA program provided long-term rental assistance to 62 people with AIDS and their families, a total of 106 people. 17 clients received short-term rent, mortgage or utility assistance. Together these programs

provided or maintained housing for 132 people. All clients receiving housing assistance also received supportive services through case management.

2. Outcomes Assessed. Assess program goals against actual client outcomes for achieving housing stability, reducing risks of homelessness, and improving access to care. If current year results are lower than the national program targets (80 percent of HOPWA clients maintain housing stability, avoid homelessness and access care), please describe the steps being taken to achieve the national outcome goal in next operating year.

Two clients exited the program to long-term, stable housing with non-HOPWA assistance. All others receiving rental assistance are remaining stably housed within the program. The sponsor agencies provided STRMU assistance only on a 1-time basis

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

- BCAP coordinates housing services for its clients enrolled in the HUD-funded TBRA subsidy program, participates as a core provider with Boulder County Advocates for Transitional Housing and manages an agency-owned and operated group home for persons living with HIV. In addition, we work closely with the Boulder County Housing Authority, Longmont Housing Authority and Boulder Housing Partners, our area public housing authorities to help our under-housed clients access site-based and Housing Choice Voucher Section 8 programs. We work with a large number of area landlords and other housing programs such as Thistle Housing to help clients access secure, safe, and affordable housing to support their health and stability.
- NCAP clients enroll in subsidized housing programs through Fort Collins Housing Authority, Loveland Housing Authority, Sterling Housing Authority, Neighbor to Neighbor, and Section 8.
- S-CAP frequently coordinates housing for clients with Greccio Housing. Greccio Housing focuses on providing housing and life skill support to the homeless and underserved population. We were able to provide rental and utility assistance for clients housed through Greccio with funds from the PATH program. Clients seeking general housing assistance are referred to other local area non-profits such as Ecumenical Social Services and West Side Cares for short term rental assistance. As a last resort, we assist client with Ryan White funds. All HOPWA applicants are required to first apply for public housing assistance (Section 8 etc.)
- WCAP uses HOPWA funds as a transitional housing program while clients are waiting for Section 8 housing or low income or employee assistance housing. It can also support a client while searching for employment. Referrals are made to other short term community entities for assistance to supplement HOPWA funds.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

Currently, sponsor agencies may direct requests for TA to either Colorado AIDS Project or to the HOPWA Program administrator at the Colorado Department of Local Affairs, Division of Housing (CDOH). They, in turn, request assistance from the HUD's Denver Field Office or Building Changes, our regional TA provider. Both the Field Office and Building Changes have been very helpful and effective. CDOH, CAP, and all of the sponsor agencies sent representatives to the HOPWA grantee training held in Denver on March 3, 2009. One of the sponsor agencies is content with the level of support received. The others would like additional training, quarterly communication updates, clearer written guidelines that reference the regulations. They find that the CD that given during this past year's training is difficult to follow and get exact answers from.

C. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

- | | | | |
|--|--|--|--|
| <input checked="" type="checkbox"/> HOPWA/HUD Regulations | <input type="checkbox"/> Planning | <input checked="" type="checkbox"/> Housing Availability | <input checked="" type="checkbox"/> Rent Determination and Fair Market Rents |
| <input checked="" type="checkbox"/> Discrimination/Confidentiality | <input checked="" type="checkbox"/> Multiple Diagnoses | <input type="checkbox"/> Eligibility | <input checked="" type="checkbox"/> Technical Assistance or Training |

<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Other, please explain further		

Discrimination/Confidentiality –

Actions taken in response to barrier: provided the Housing Case Manager with a dedicated mobile phone this year to ensure confidentiality in communications with landlords. The phone does not indicate the name of the agency providing the housing subsidy. In addition, we use the name Boulder County Health Network on all housing paperwork provided to landlords.

Multiple Diagnoses - Clients with multiple diagnoses often do not have the ability to maintain routine functioning without outside assistance.

Actions taken to address the barrier: (1) Increased case management or coordination with mental health providers could prevent issues with this barrier. (2) BCAP hired a licensed professional counselor this year to train and support staff who are working with increasing numbers of our clients who have multiple diagnoses and/or legal problems. (3) Using the transtheoretical model of behavior change, which utilizes the states of change and motivational interviewing techniques, has very helpful in our work with clients who are homeless, recently incarcerated, or have mental health and/or substance abuse issues along with an HIV or AIDS diagnosis.

HOPWA/HUD Regulations – Lack of Section 8 vouchers makes it difficult to transition HOPWA clients to long-term housing. Individuals and families do not come up on Section 8 and housing authority waiting lists for a number of years. Our TBRA program is fairly stagnant and leaves little opportunity for individuals new to the area or newly diagnosed to receive assistance.

Recommendation: Hold units by county for individuals on HOPWA for two or more years.

Supportive Services - Increased funding would allow more flexibility in assisting clients with services that impede their ability to maintain housing.

Multiple Diagnoses, Credit History and Rental History often make it difficult for a client to find appropriate housing as they have difficulty obtaining approval as tenants. A possible resolution for this might be an increased deposit that might be made available through Supportive Services funds.

Criminal Justice History restricts a lot of housing opportunities for clients. Working more closely with Department of Corrections Transition members and/or Parole Departments may give us more resources to refer the client to explore.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

Trends in the Colorado AIDS community are much like those around the country. We have seen increased unemployment and costs of living. We have also seen a reduction in mass transit availability in some areas. Other trends include seeing a large number of undocumented individuals among those applying for assistance.

In resort areas of the state, housing availability and affordability have always presented challenges. In these areas, FMR is not adequate to cover housing costs, so clients are not eligible for HOPWA assistance.

Some sponsor agencies are also working more closely with other community housing programs to prevent duplication of services. So far, we have been very successful in obtaining community assistance where available and we hope to expand this endeavor.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

The State's monitored the 2007 and 2008 HOPWA grants on site in February 2009. We expect our local HUD office to monitor the State's records in the fall of the 2009. No other studies have been done or made available to the public.

D. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require housing assistance but are not currently served by HOPWA in this service area.

In Line 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Table 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. *Note: Report most current data available, through Consolidated or*

Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

In Rows a through c, enter the number of HOPWA-eligible households by type of housing assistance whose housing needs are not met. For an approximate breakdown of overall unmet need by type of housing assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds.

1. Assessment of Unmet Need for HOPWA-eligible Households

1. Total number of households that have unmet housing needs	= 263
From Item 1, identify the number of households with unmet housing needs by type of housing assistance	
a. Tenant-Based Rental Assistance (TBRA)	= 73
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	= 182
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	= 7

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

<input checked="" type="checkbox"/> = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
= Data from client information provided in Homeless Management Information Systems (HMIS)
<input checked="" type="checkbox"/> = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need
= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
<input checked="" type="checkbox"/> = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars.

[1] Sources of Leveraging		Total Amount of Leveraged Dollars (for this operating year)	
		[2] Housing Assistance	[3] Supportive Services and other non-direct housing costs
1.	Program Income	= \$264,551	= 37,667
2.	Federal government (please specify):	=	=
	Ryan White Part B	= 320,752	= 1,094,668
	Ryan White Part C	= 12,500	= 6,700
	EFSP	=	= 7,000
	PATH	= 46,830	
	ESG	= 8,673	
3.	State government (please specify)	=	=
	CHAPP	= 84,909	= 133,365
	Tobacco Funds	=	= 4,265
4.	Local government (please specify)	= 112,686	22,605
		=	=
		=	=
		=	=
5.	Foundations and other private cash resources (please specify)	= 484,677	= 203,809
		=	=
		=	=
		=	=
6.	In-kind Resources	= 107,394	=
7.	Resident rent payments in Rental, Facilities, and Leased Units	= 2,328	=
8.	Grantee/project sponsor (Agency) cash	=	=
9.	TOTAL (Sum of 1-7)	= 1,451,309	= 1,512,079

End of PART 2

PART 3: Accomplishment Data - Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families. *Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.*

1. HOPWA Performance Planned Goal and Actual Outputs

	HOPWA Performance Planned Goal and Actual	Output Households				Funding	
		HOPWA Assistance		Non-HOPWA			
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
	Housing Subsidy Assistance	Output Households					
1.	Tenant-Based Rental Assistance	0	62	0	40	445,917	198,816.40
2a.	Households in permanent housing facilities that receive operating subsidies/leased units						
2b.	Households in transitional/short-term housing facilities that receive operating subsidies/leased units						
3a.	Households in permanent housing facilities developed with capital funds and placed in service during the program year						
3b.	Households in transitional/short-term housing facilities developed with capital funds and placed in service during the program year						
4.	Short-Term Rent, Mortgage and Utility Assistance	0	17	0	0	20017.07	7,698.00
5.	Adjustments for duplication (subtract)						
6.	Total Housing Subsidy Assistance		79	0	40	465,934	206,514.00
	Housing Development (Construction and Stewardship of facility based housing)	Output Units					
7.	Facility-based units being developed with capital funding but not opened (show units of housing planned)	0	0	0	0	0	0
8.	Stewardship Units subject to 3 or 10 year use agreements	0	0	0	0		
9.	Total Housing Developed	0	0	0	0	0	0
	Supportive Services	Output Households					
10a.	Supportive Services provided by project sponsors also delivering HOPWA housing assistance	0	79			56,481.00	35263.59
10b.	Supportive Services provided by project sponsors serving households who have other housing arrangements	0	0				
11.	Adjustment for duplication (subtract)						
12.	Total Supportive Services	0	79			56,481.00	35263.59
	Housing Placement Assistance Activities						
13.	Housing Information Services						
14.	Permanent Housing Placement Services		6			22,740.00	2935.00
15.	Adjustment for duplication						
16.	Total Housing Placement Assistance	0	6			22,740.00	2935.00
	Grant Administration and Other Activities						
17.	Resource Identification to establish, coordinate and develop housing assistance resources					0	0
18.	Technical Assistance (if approved in grant agreement)					0	0
19.	Grantee Administration (maximum 3% of total HOPWA grant)					22,260.00	17,511.63
20.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					34568.77	19,193.99
	Total Expenditures for program year (Sum of rows 6, 9, 12, 16, and 20)					601983.77	289116.61

2. Listing of Supportive Services

Report on the use of HOPWA funds for all supportive services. In Rows 1 through 16, provide the (unduplicated) total of all households and expenditures for each type of supportive service for all project sponsors.

Supportive Services		Number of <u>Households</u> Receiving HOPWA Assistance	Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management/client advocacy/ access to benefits & services	79	35263.59
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Adjustment for Duplication (subtract)		
16.	TOTAL Households receiving Supportive Services (unduplicated)	79	35,263.59

End of PART 3

Part 4: Summary of Performance Outcomes

HOPWA Long-term Performance Objective: *Eighty percent of HOPWA clients will maintain housing stability, avoid homelessness, and access care each year through 2011.*

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

In Column 1, report the total number of eligible households that received HOPWA housing assistance, by type. In Column 2, enter the number of households continuing to access each type of housing assistance, the following year. In Column 3, report the housing status of all households that exited the program. Columns 2 (Number of Households Continuing) and 3 (Exited Households) summed will equal the total households reported in Column 1. *Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.*

[A] Permanent Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Assessment: Number of Households Continuing with this Housing (per plan or expectation for next year)		[3] Assessment: Number of Exited Households and Housing Status	
Tenant-Based Rental Assistance	= 62	= 50		1 Emergency Shelter/Streets	=
				2 Temporary Housing	=
				3 Private Housing	= 7
				4 Other HOPWA	= 1
				5 Other Subsidy	=
				6 Institution	=
				7 Jail/Prison	= 1
				8 Disconnected/Unknown	= 3
				9 Death	=
Permanent Supportive Housing Facilities/Units	=	=		1 Emergency Shelter/Streets	=
				2 Temporary Housing	=
				3 Private Housing	=
				4 Other HOPWA	=
				5 Other Subsidy	=
				6 Institution	=
				7 Jail/Prison	=
				8 Disconnected/Unknown	=
				9 Death	=
[B] Transitional Housing Assistance	[1] Total Number of Households Receiving Housing Assistance	[2] Of the Total Number of Households Receiving Housing Assistance this Operating Year		[3] Assessment: Number of Exited Households and Housing Status	
Transitional/Short-Term Supportive Facilities/Units	= 0	Total number of households that will continue in residences:	= 0	1 Emergency Shelter/Streets	=
				2 Temporary Housing	=
				3 Private Housing	=
				4 Other HOPWA	=
		Total number of households whose tenure exceeded 24 months:	= 0	5 Other Subsidy	=
				6 Institution	=
				7 Jail/Prison	=
				8 Disconnected/unknown	=
				9 Death	=

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Assistance)

Report the total number of households that received STRMU assistance in Column 1. In Column 2, identify the result of the housing assessment made at time of assistance, or updated in the operating year. (Column 3 provides a description of housing outcomes; therefore, data is not required.) In Row 1a, enter the total number of households served in the prior operating year that received STRMU assistance this year. In Row 1b, enter the total number of households that received STRMU Assistance in the 2 prior operating years that received STRMU assistance this year. *Note: The sum of Column 2 should equal the number of households reported in Column 1.*

Assessment of Households receiving STRMU Assistance

[1] STRMU Housing Assistance	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
= 17	Maintain Private Housing without subsidy (e.g. Assistance provided/completed and client is stable, not likely to seek additional support)	= 17	Stable/Permanent Housing (PH)
	Other Private Housing without subsidy	=	
	Other HOPWA support (PH)	=	
	Other housing subsidy (PH)	=	
	Institution (e.g. residential and long-term care)	=	
	Likely to maintain current housing arrangements, with additional STRMU assistance	=	Temporarily Stable, with Reduced Risk of Homelessness
	Transitional Facilities/Short-term (e.g. temporary or transitional arrangement)	=	
	Temporary/non-permanent Housing arrangement (e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)	=	
	Emergency Shelter/street	=	Unstable Arrangements
	Jail/Prison	=	
	Disconnected	=	
	Death	=	Life Event
1a. Total number of households that received STRMU assistance in the prior operating year, that also received STRMU assistance in the current operating year.			= 0
1b. Total number of those households that received STRMU assistance in the two (2 years ago) prior operating years, that also received STRMU assistance in the current operating year.			= 0

Section 3. HOPWA Outcomes on Access to Care and Support

1A. Status of Households Accessing Care and Support by Project Sponsors delivering HOPWA Housing Assistance/Housing Placement/Case Management

Use Table 1 A for project sponsors that provide HOPWA housing assistance/housing placement with or without case management services. In Table 1A, identify the number of client households receiving any type of HOPWA housing assistance that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 1C and 1D.*

Categories of Services Accessed	Households Receiving Housing Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	79	<i>Support for Stable Housing</i>
2. Has contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan.	79	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan,	5	<i>Access to Health Care</i>
4. Has accessed and can maintain medical insurance/assistance.	68	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income.	75	<i>Sources of Income</i>

1B. Number of Households Obtaining Employment

In Table 1B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. *Note: This includes jobs created by this project sponsor or obtained outside this agency.*

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job	17	<i>Sources of Income</i>

Chart 1C: Sources of income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Unemployment Insurance • Supplemental Security Income (SSI) • Social Security Disability Income (SSDI) • Veteran's Disability Payment • General Assistance, or use local program name • Temporary Assistance for Needy Families (TANF) income, or use local program name 	<ul style="list-style-type: none"> • Veteran's Pension • Pension from Former Job • Child Support • Alimony or Other Spousal Support • Retirement Income from Social Security • Private Disability Insurance • Worker's Compensation
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Chart 1D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or local program name • Veterans Affairs Medical Services • State Children's Health Insurance Program (SCHIP), or local program name 	<ul style="list-style-type: none"> • MEDICARE Health Insurance Program, or local program name • AIDS Drug Assistance Program (ADAP) • Ryan White-funded Medical or Dental Assistance
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2A. Status of Households Accessing Care and Support through HOPWA-funded Services receiving Housing Assistance from Other Sources

In Table 2A, identify the number of client households served by project sponsors receiving HOPWA-funded housing placement or case management services who have other and housing arrangements that demonstrated improved access or maintained connections to care and support within the program year by: having a housing plan; having contact with a case manager/benefits counselor; visiting a primary health care provider; accessing medical insurance/assistance; and accessing or qualifying for income benefits. *Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 2C and 2D.*

Categories of Services Accessed	Households Receiving HOPWA Assistance within the Operating Year	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing.	0	<i>Support for Stable Housing</i>
2. Successfully accessed or maintained qualification for sources of income.	0	<i>Sources of Income</i>
3. Had contact with a primary health care provider consistent with the schedule specified in clients individual service plan.	0	<i>Access to Health Care</i>
4. Has accessed and can maintain medical insurance/assistance.	0	<i>Access to Health Care</i>
5. Has contact with case manager, benefits counselor, or housing counselor consistent with the schedule specified in client's individual service plan.	0	<i>Access to Support</i>

2B. Number of Households Obtaining Employment

In Table 2B, identify the number of recipient households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA funded: job training, employment assistance, education or related case management/counseling services. *Note: This includes jobs created by this project sponsor or obtained outside this agency.*

Categories of Services Accessed	Number of Households that Obtained Employment	Outcome Indicator
Total number of households that obtained an income-producing job		<i>Sources of Income</i>

Chart 2C: Sources of income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Unemployment Insurance • Supplemental Security Income (SSI) • Social Security Disability Income (SSDI) • Veteran's Disability Payment • General Assistance, or use local program name • Temporary Assistance for Needy Families (TANF) income, or use local program name 	<ul style="list-style-type: none"> • Veteran's Pension • Pension from Former Job • Child Support • Alimony or Other Spousal Support • Retirement Income from Social Security • Private Disability Insurance • Worker's Compensation
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Chart 2D: Sources of medical insurance and assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or local program name • Veterans Affairs Medical Services • State Children's Health Insurance Program (SCHIP), or local program name 	<ul style="list-style-type: none"> • MEDICARE Health Insurance Program, or local program name • AIDS Drug Assistance Program (ADAP) • Ryan White-funded Medical or Dental Assistance
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End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes

1. This chart is designed to assess program results based on the information reported in Part 4.

Permanent Housing Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6=#)	Temporary Housing (2)	Unstable Arrangements (1+7+8=#)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	58	0	4	1
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Assistance	58		4	1
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	17			
Total HOPWA Housing Assistance	75			

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

Grantees that use HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten years. If non-substantial rehabilitation funds were used they are required to operate for at least three years. Stewardship begins once the facility is put into operation. This Annual Certification of Continued HOPWA Project Operations is to be used in place of other sections of the APR, in the case that no additional HOPWA funds were expended in this operating year at this facility that had been acquired, rehabilitated or constructed and developed in part with HOPWA funds.

1. General information

HUD Grant Number(s)	Operating Year for this report <i>From (mm/dd/yy) To (mm/dd/yy)</i> <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Leveraging

Housing Assistance	Number of Units Receiving Housing Assistance with HOPWA funds	Amount of Leveraging from Other Sources Used during the Operating Year
Stewardship units (developed with HOPWA funds but no current operations or other HOPWA costs) subject to 3 or 10 year use periods		

3. Details of Project Site

Name of HOPWA-funded project site	
Project Zip Code(s) and Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list. <input type="checkbox"/> Not confidential; information can be made available to the public.
If the site address is not confidential, please provide the contact name, phone, email, and physical address, if different from business address.	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6